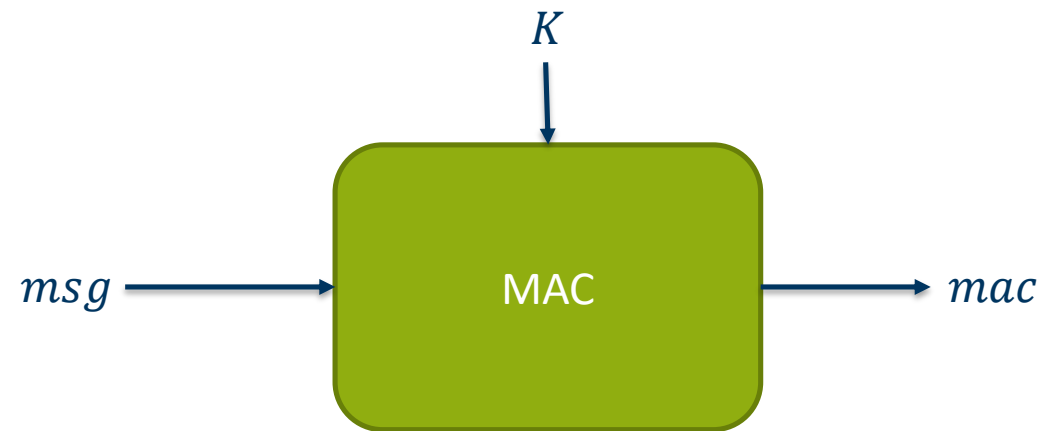


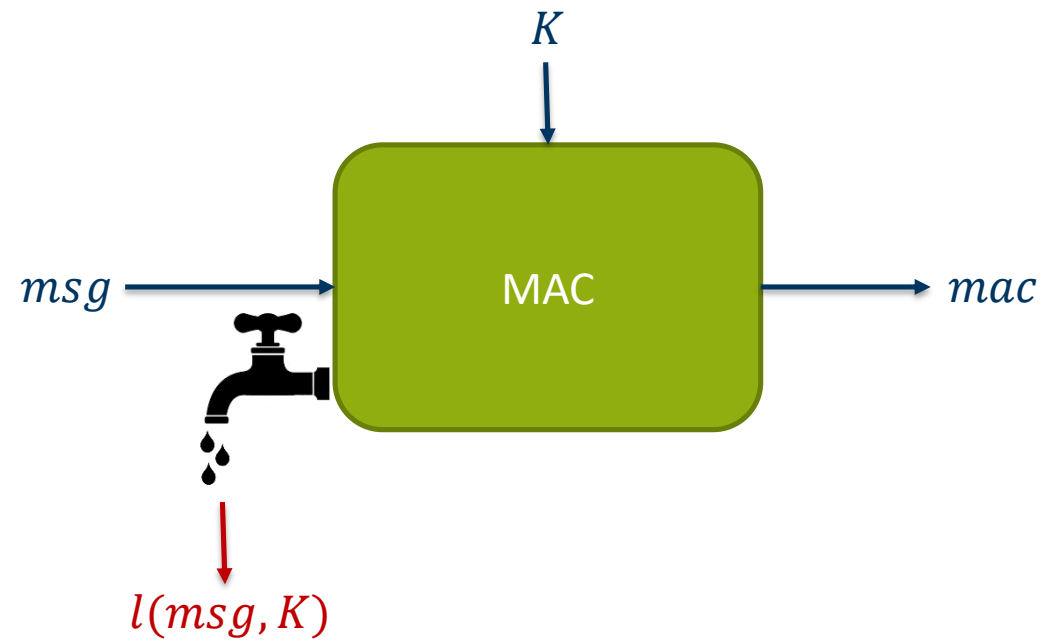
# Shuffle and Mix: On the Diffusion of Randomness in TI of Keccak

**COSADE 2019, Darmstadt**

Felix Wegener, Christian Baiker, Amir Moradi

Ruhr University Bochum, Horst Görtz Institute for IT-Security, Germany





## Countermeasures

**Masking:** Make intermediate value independent of secret

**Hiding:** Lower SNR

# Masking

- Core Idea: Secret  $x$   $\longrightarrow$  multiple shares  $X = (a, b, c)$  :

$$x = a \oplus b \oplus c$$

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- In Hardware: Even more difficult due to glitches

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- Problem: How to compute a function  $f$  on shared values?
- In Hardware: Even more difficult due to glitches

**Solution:**  
Threshold Implementations

**Three properties** for first-order secure computations

- Correctness

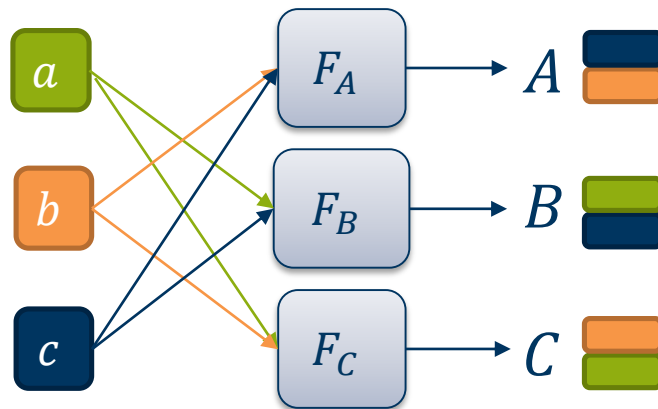
$$A, B, C = F(a, b, c)$$
$$f(x) = A \oplus B \oplus C$$

Three properties for first-order secure computations

- Correctness

$$A, B, C = F(a, b, c)$$
$$f(x) = A \oplus B \oplus C$$

- Non-completeness



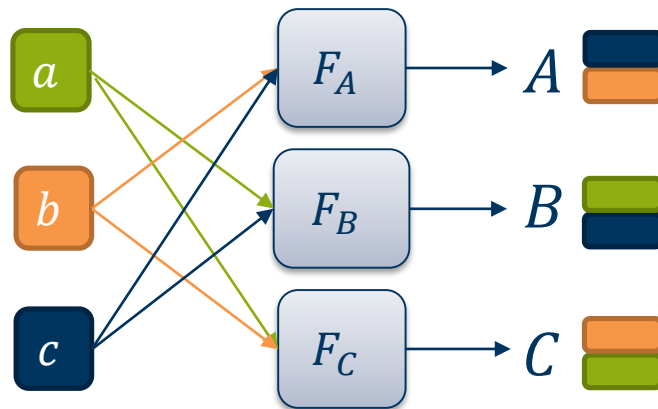
Nikova, Rechberger, Rijmen. Threshold Implementations Against Side-Channel Attacks and Glitches, ICICS 2006

## Three properties for first-order secure computations

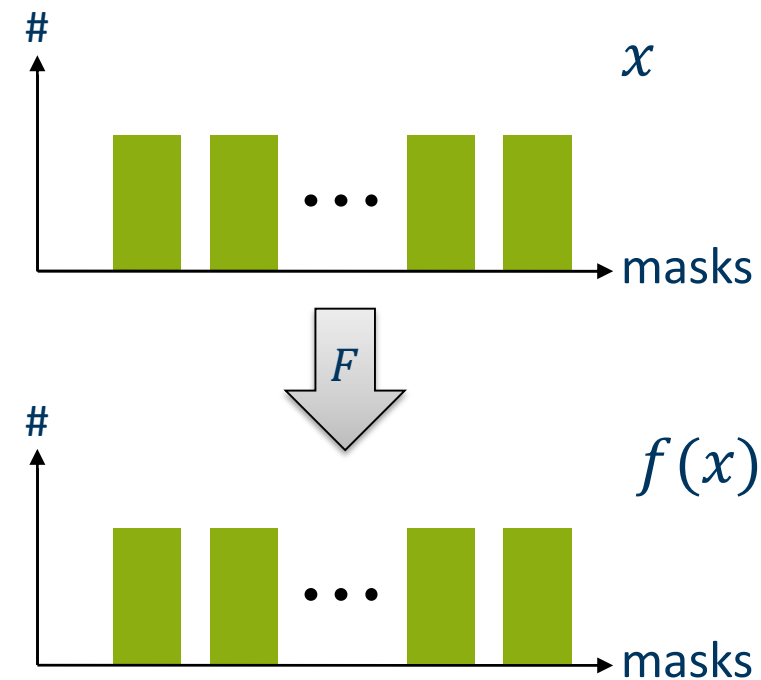
- Correctness

$$A, B, C = F(a, b, c)$$
$$f(x) = A \oplus B \oplus C$$

- Non-completeness



- Uniformity



- Locally:

**Theorem:**

If  $F$  is

- correct
- non-complete
- Input is masked uniformly

Then:

Evaluation is first-order secure

- Locally:

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If  $F$  is

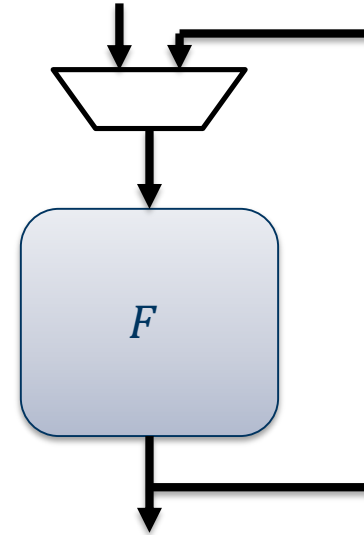
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Evaluation is first-order secure

Uniform output not needed

- Globally:



Iterated Round-function



- Locally:

**Theorem:**

If  $F$  is

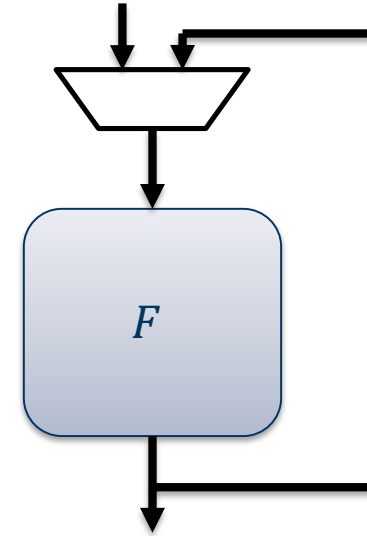
- correct
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- Input is masked uniformly

Then:

Evaluation is first-order secure

Uniform output not needed

- Globally:

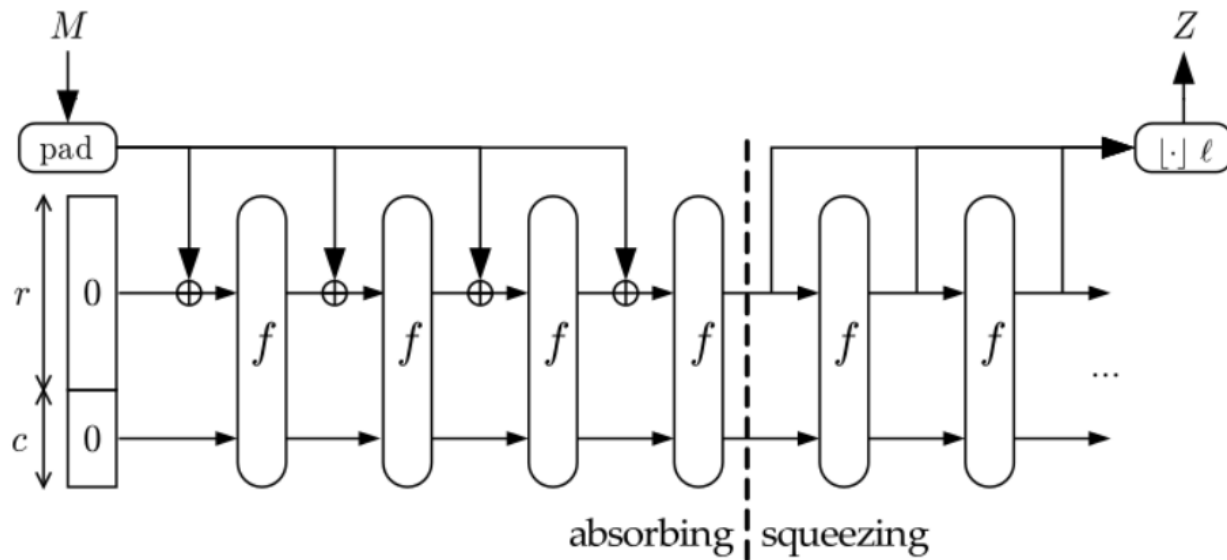


Iterated Round-function

Uniform output needed

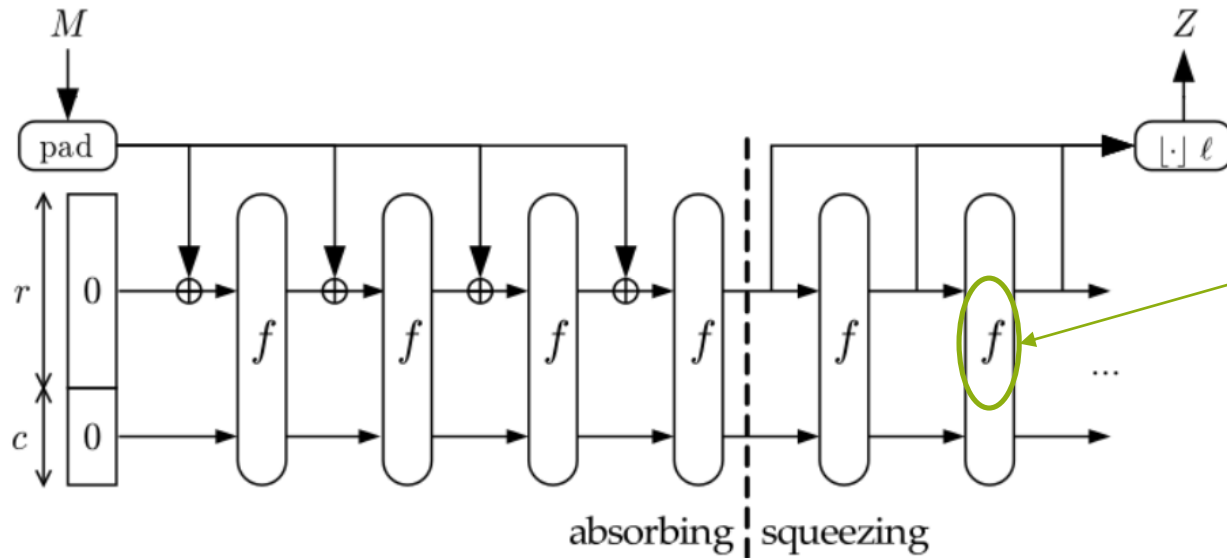
# Keccak

- Sponge-based Hashfunction



- SHA3 in 2015

- Sponge-based Hashfunction

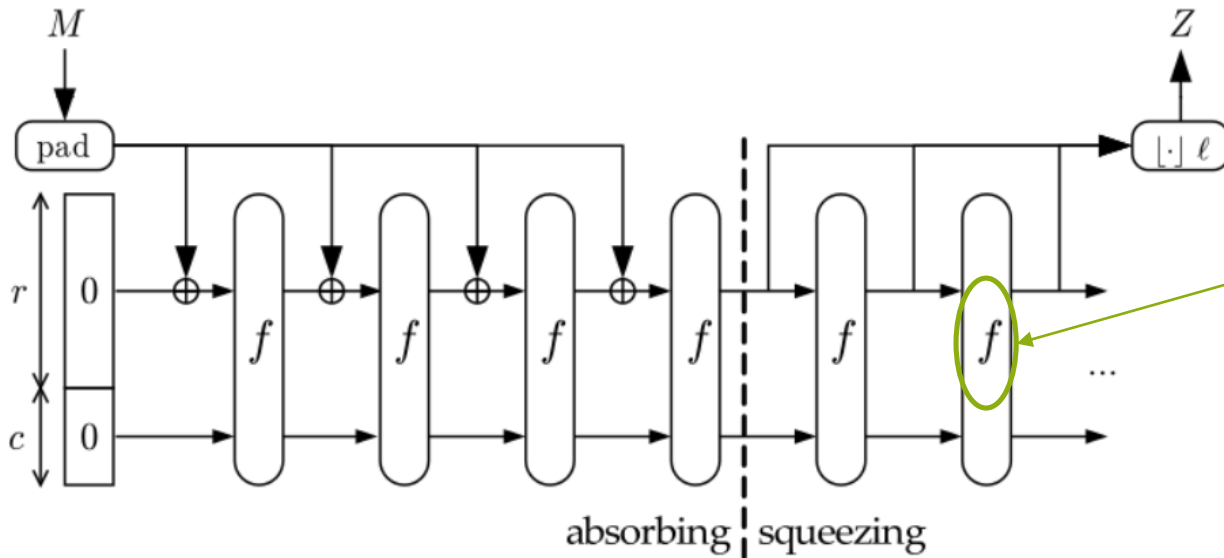


## Keccak- $f[b]$ :

- $b = 25 \cdot 2^l, l = 0, \dots, 6$
- $n_r = 12 + 2l$
- $R = \iota \circ \chi \circ \pi \circ \rho \circ \theta$

- SHA3 in 2015

- Sponge-based Hashfunction



- SHA3 in 2015

**Keccak- $f[b]$ :**

- $b = 25 \cdot 2^l, l = 0, \dots, 6$
- $n_r = 12 + 2l$
- $R = \iota \circ \chi \circ \pi \circ \rho \circ \theta$

**Here:**

Keccak- $f[200]$   
18 rounds

How to mask Keccak- $f$ ?

$\rho$

$\pi$

$\theta$

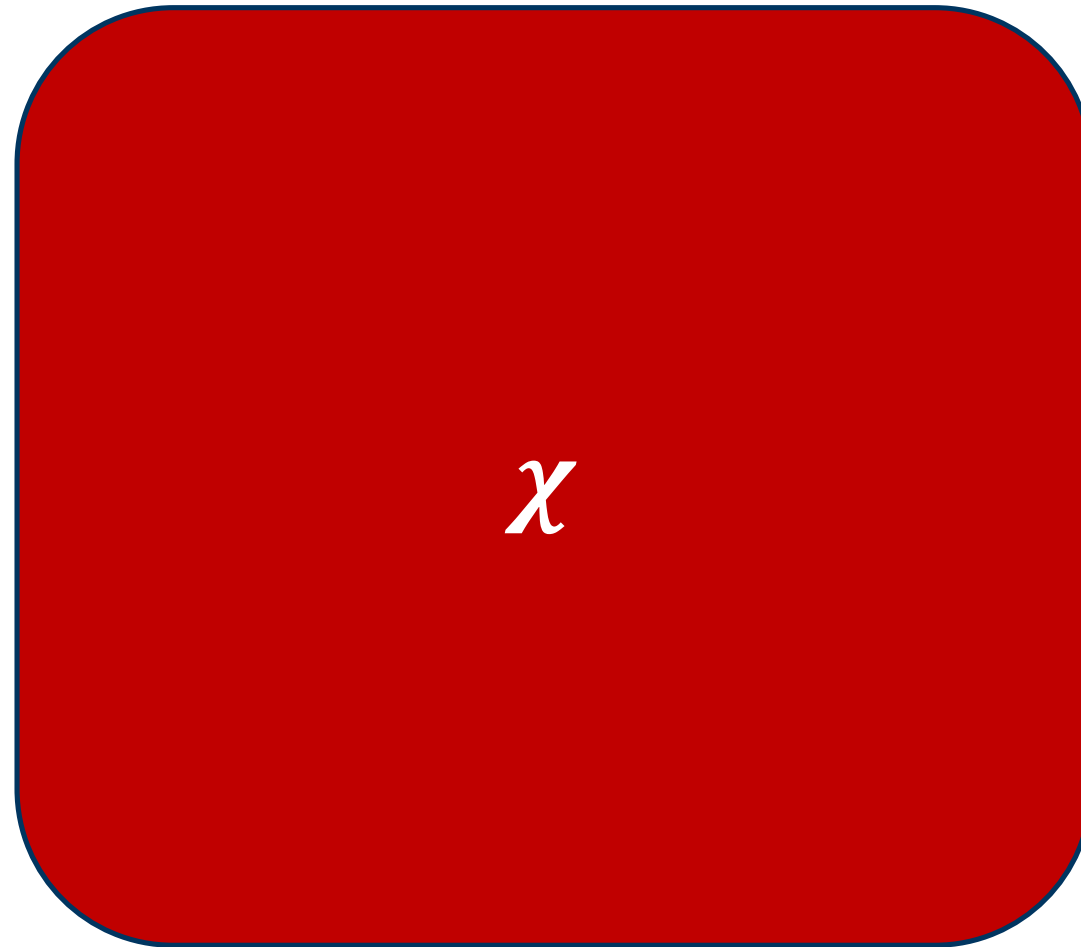
$l$

*Use linearity:*

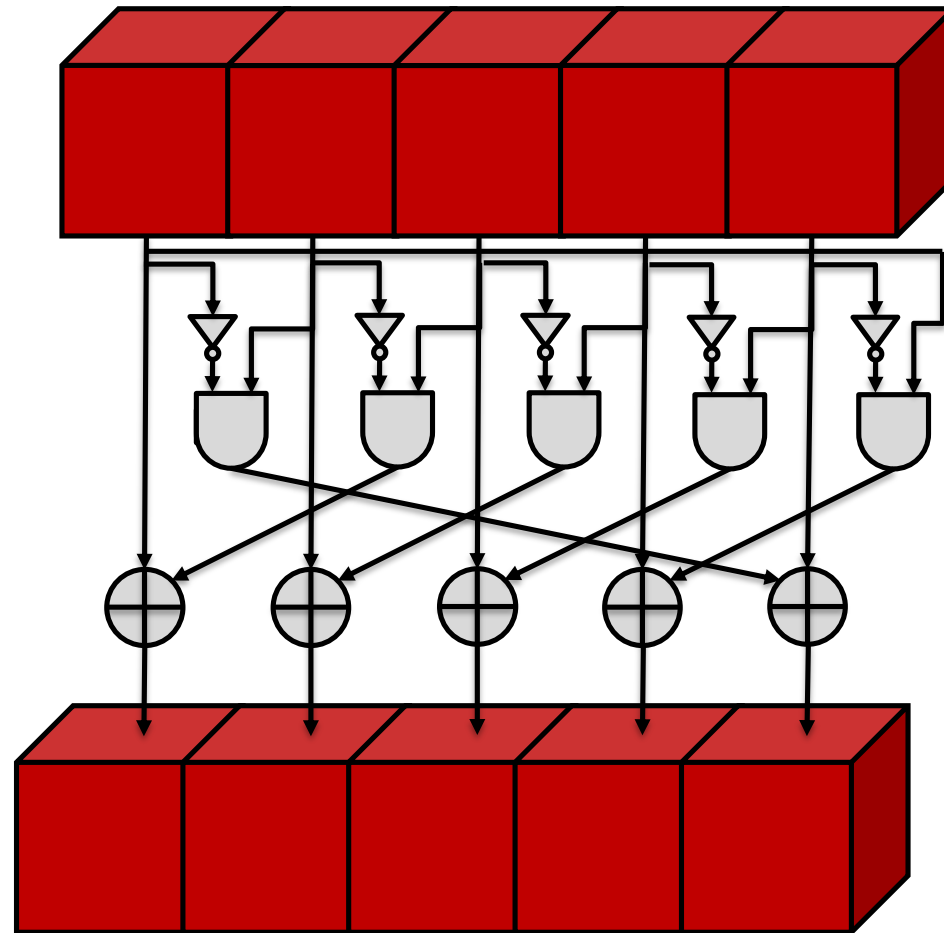
$$L(x_1 \oplus x_2 \oplus x_3) = L(x_1) \oplus L(x_2) \oplus L(x_3)$$

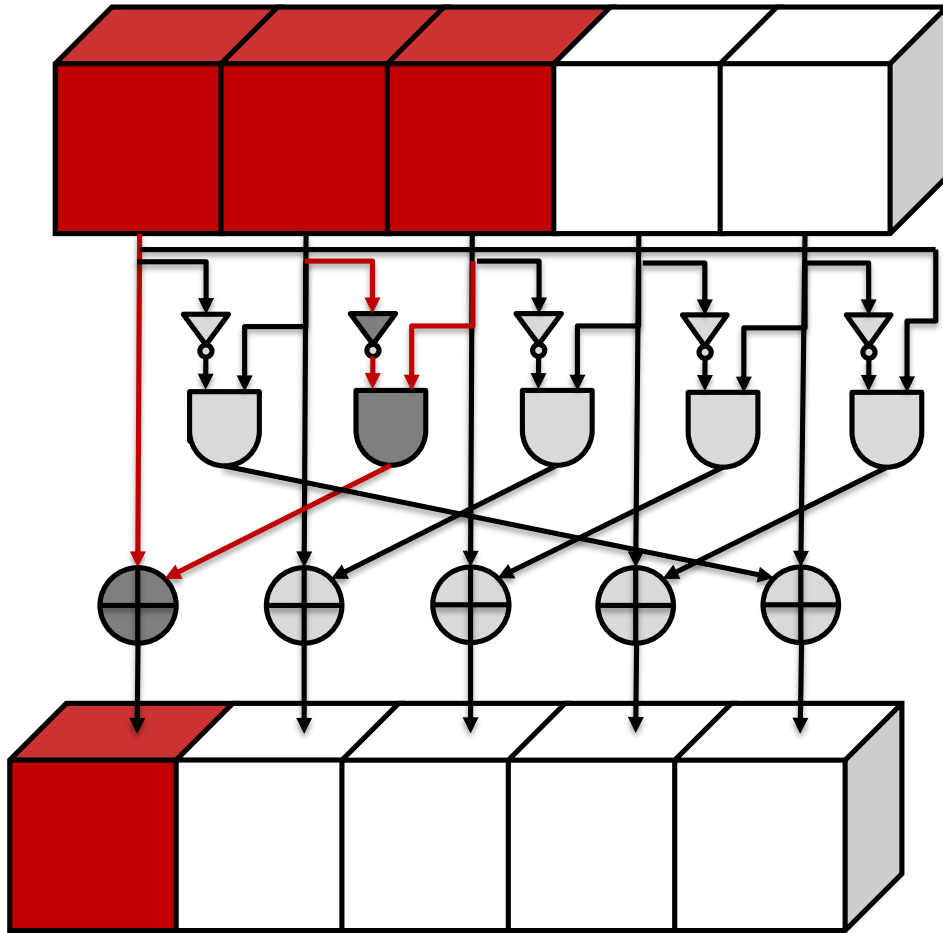
*Replication without modification*





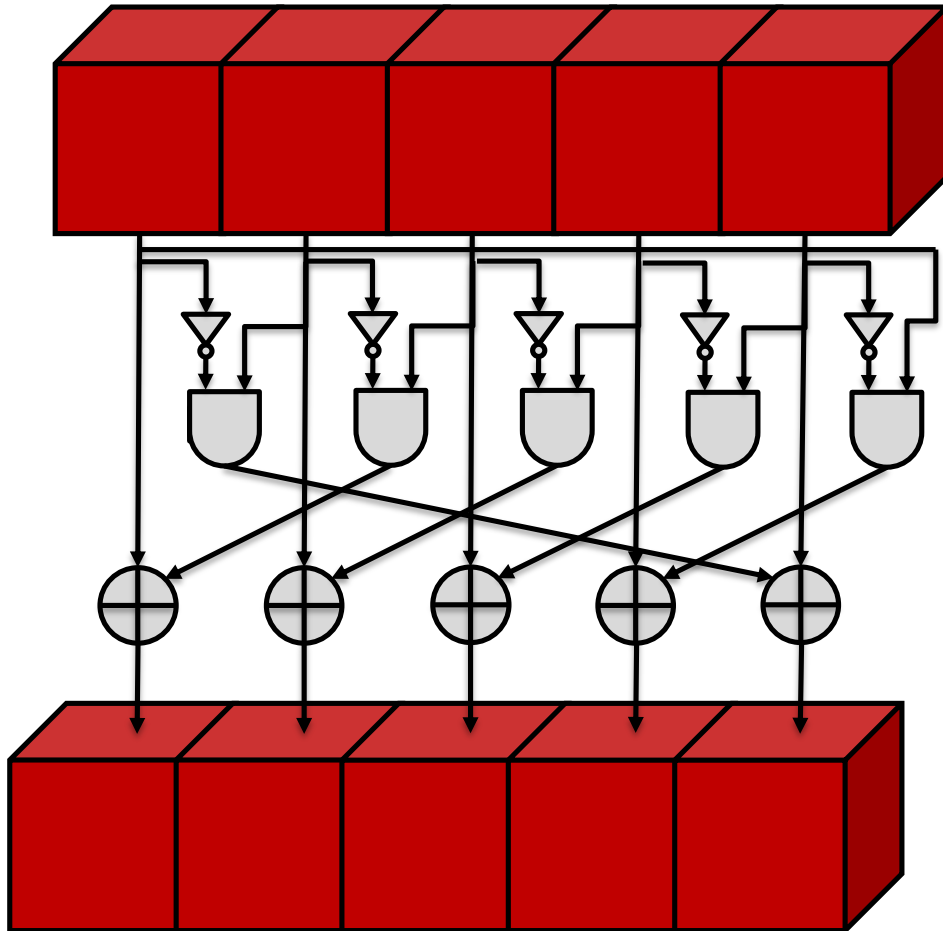
# Non-linear Layer





One Coordinate function:

$$\begin{aligned} y_0 &= x_0 \oplus [(1 \oplus x_1) \wedge x_2] \\ &= x_0 \oplus (x_1 \wedge x_2) \oplus x_2 \end{aligned}$$



One Coordinate function:

$$y_0 = x_0 \oplus [(1 \oplus x_1) \wedge x_2]$$

$$= x_0 \oplus (x_1 \wedge x_2) \oplus x_2$$

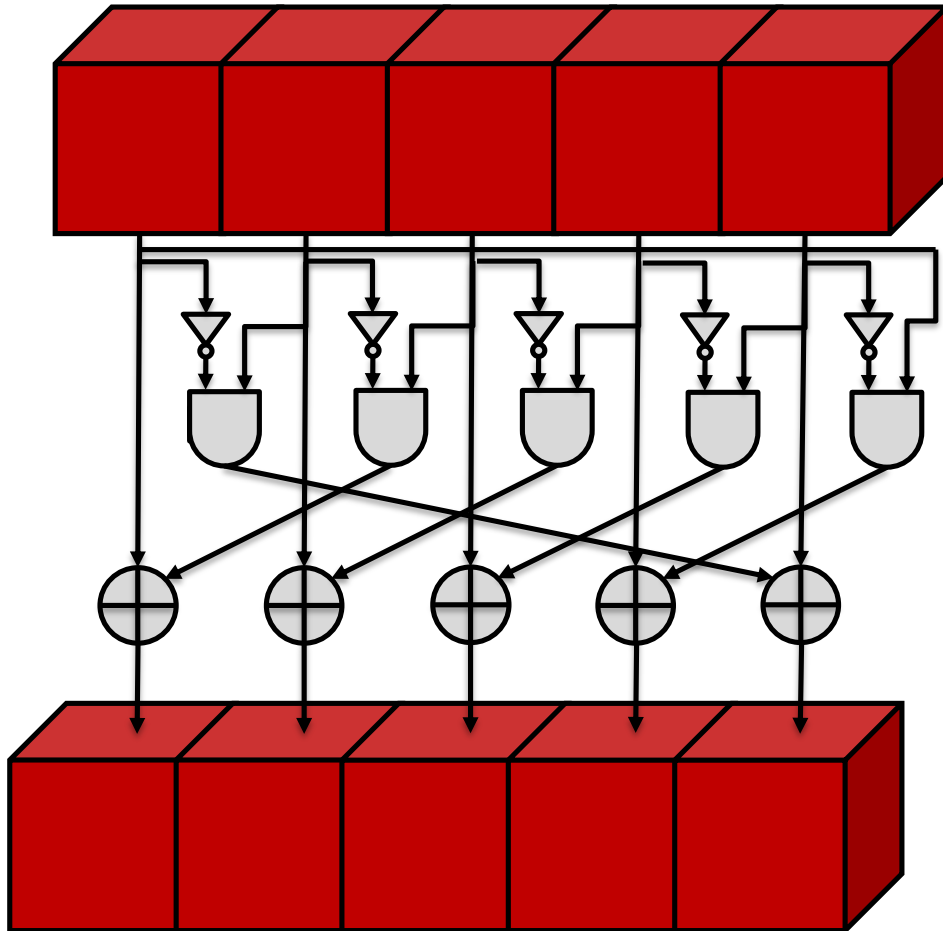
Direct Sharing of  $\chi$ :

$$A_i = b_i \oplus (b_{i+1} \wedge b_{i+2}) \oplus (b_{i+1} \wedge c_{i+2}) \oplus (c_{i+1} \wedge b_{i+2}) \oplus b_{i+2}$$

$$B_i = c_i \oplus (c_{i+1} \wedge c_{i+2}) \oplus (c_{i+1} \wedge a_{i+2}) \oplus (a_{i+1} \wedge c_{i+2}) \oplus c_{i+2}$$

$$C_i = a_i \oplus (a_{i+1} \wedge a_{i+2}) \oplus (a_{i+1} \wedge b_{i+2}) \oplus (b_{i+1} \wedge a_{i+2}) \oplus a_{i+2}$$

Bertoni, Daemen, Peeters, Van Assche: Keccak. EUROCRYPT 2013



Direct Sharing of  $\chi$ :

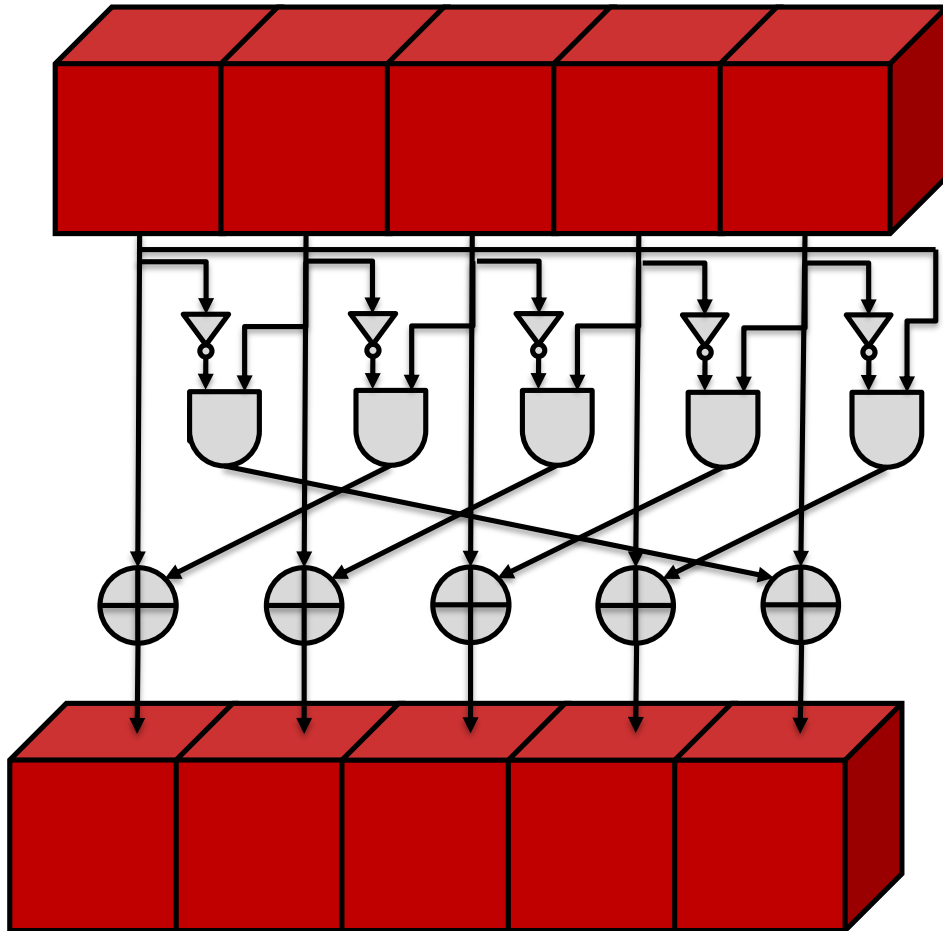
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Non-complete ✓



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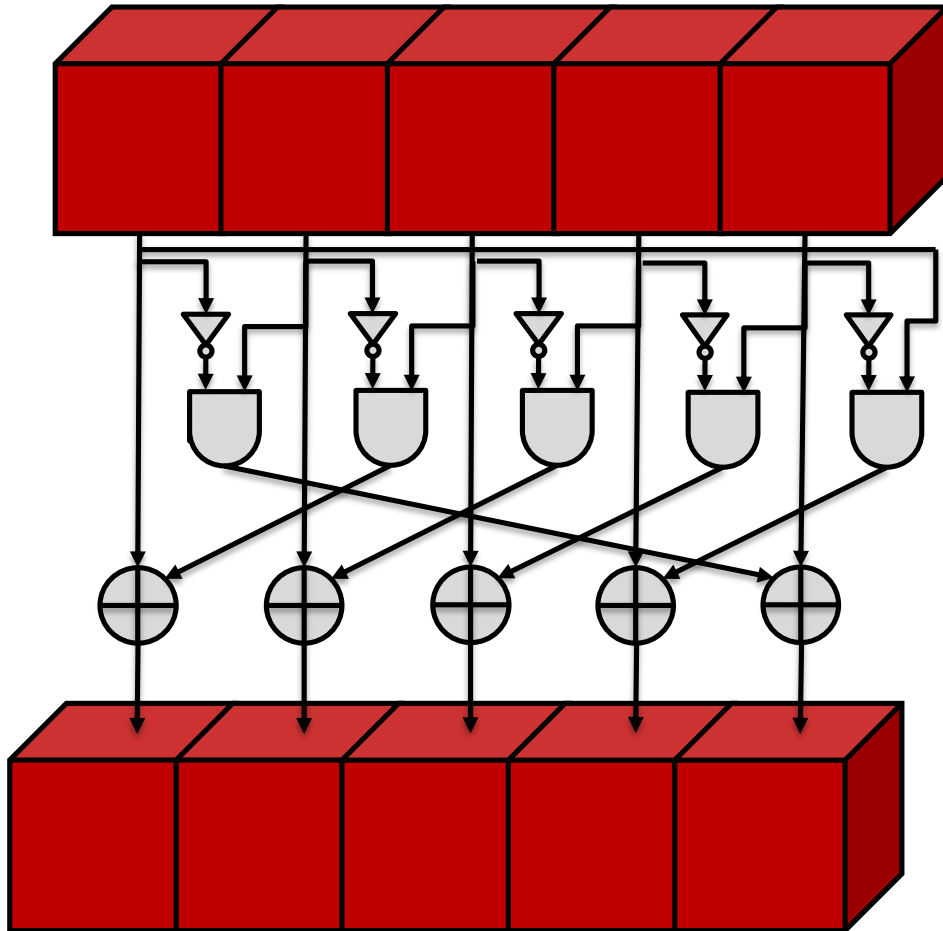
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Bertoni, Daemen, Peeters, Van Assche: Keccak. EUROCRYPT 2013

Non-complete ✓

NOT Uniform ✗



Direct Sharing of  $\chi$ :

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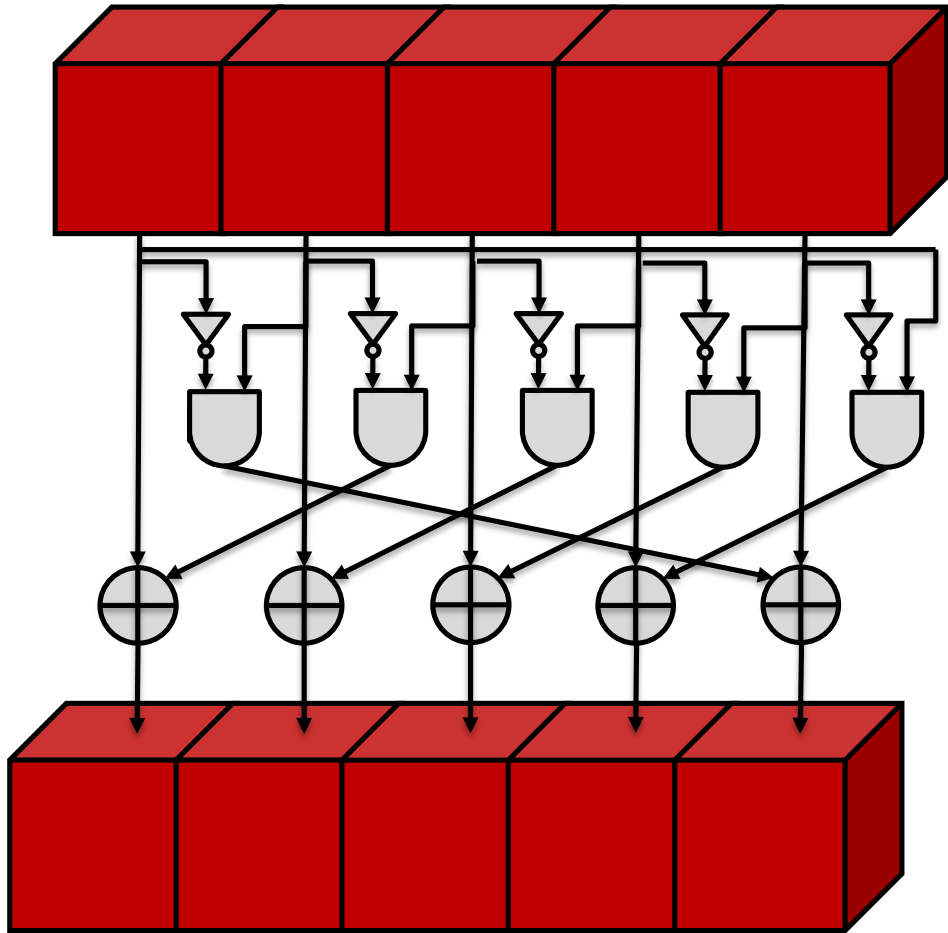
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Bertoni, Daemen, Peeters, Van Assche: Keccak. EUROCRYPT 2013

Non-complete ✓

Partially Uniform

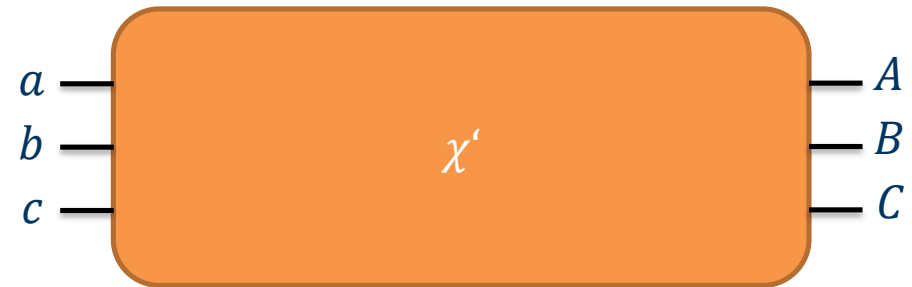
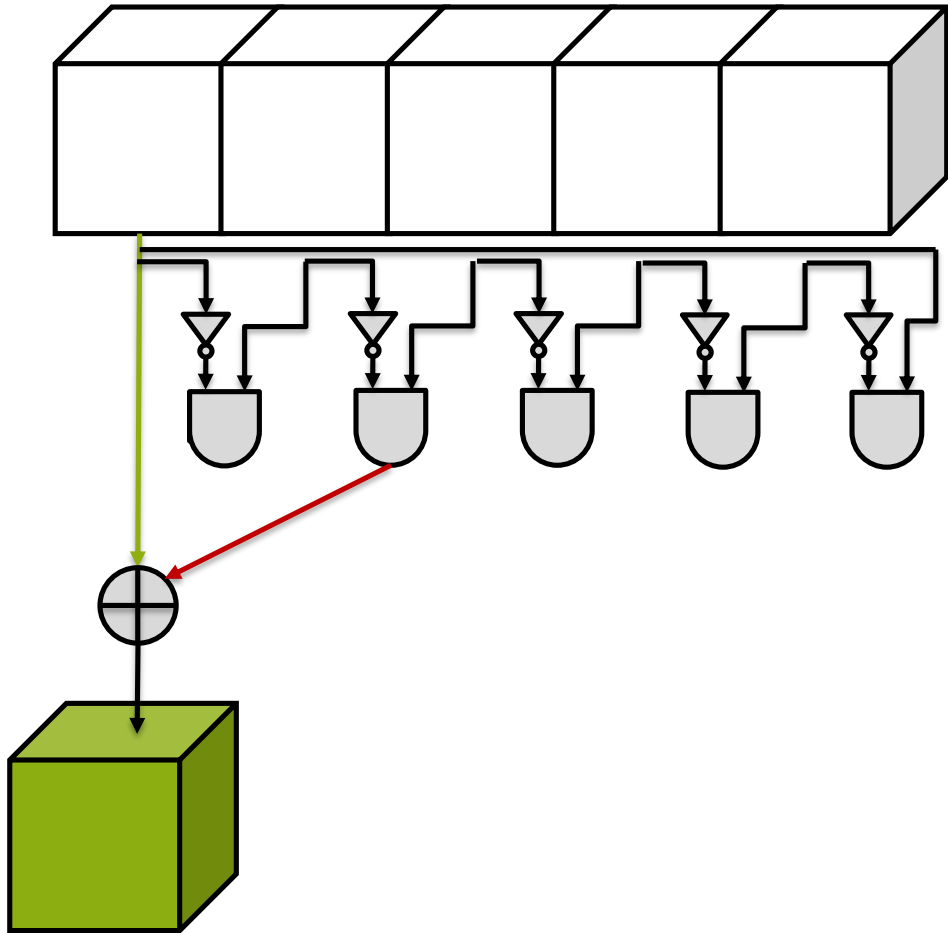
# Non-linear Layer



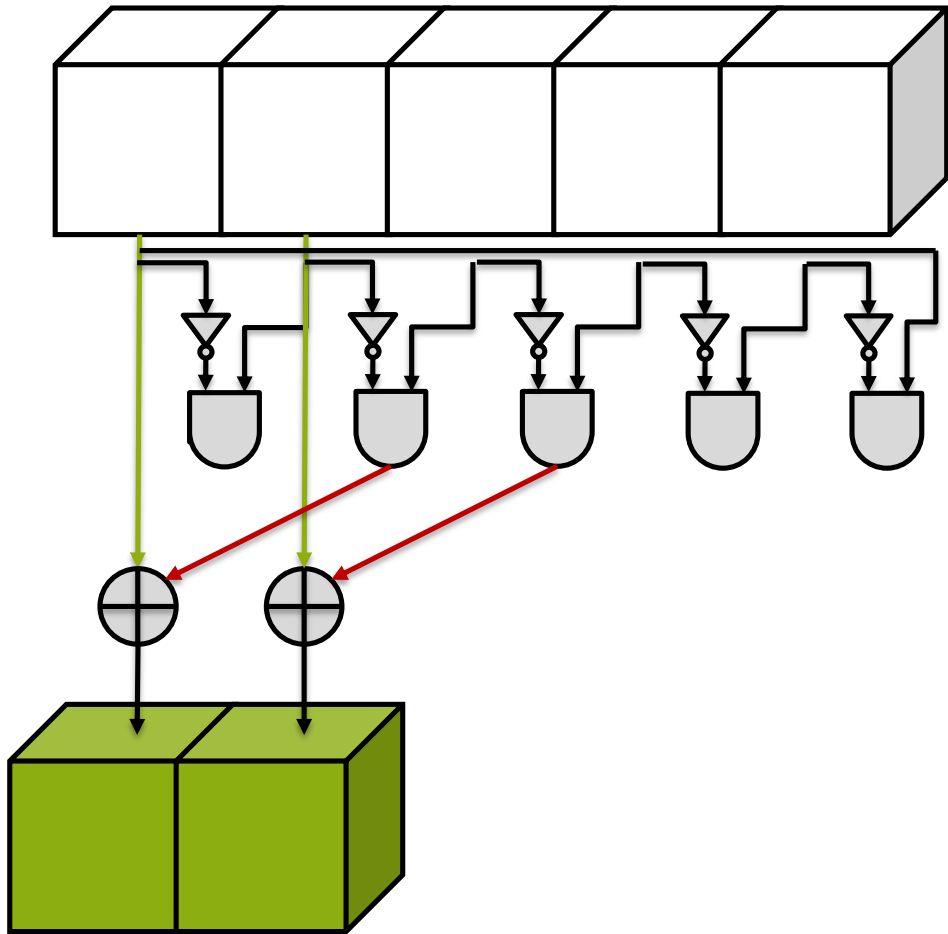
Non-complete ✓

Partially Uniform

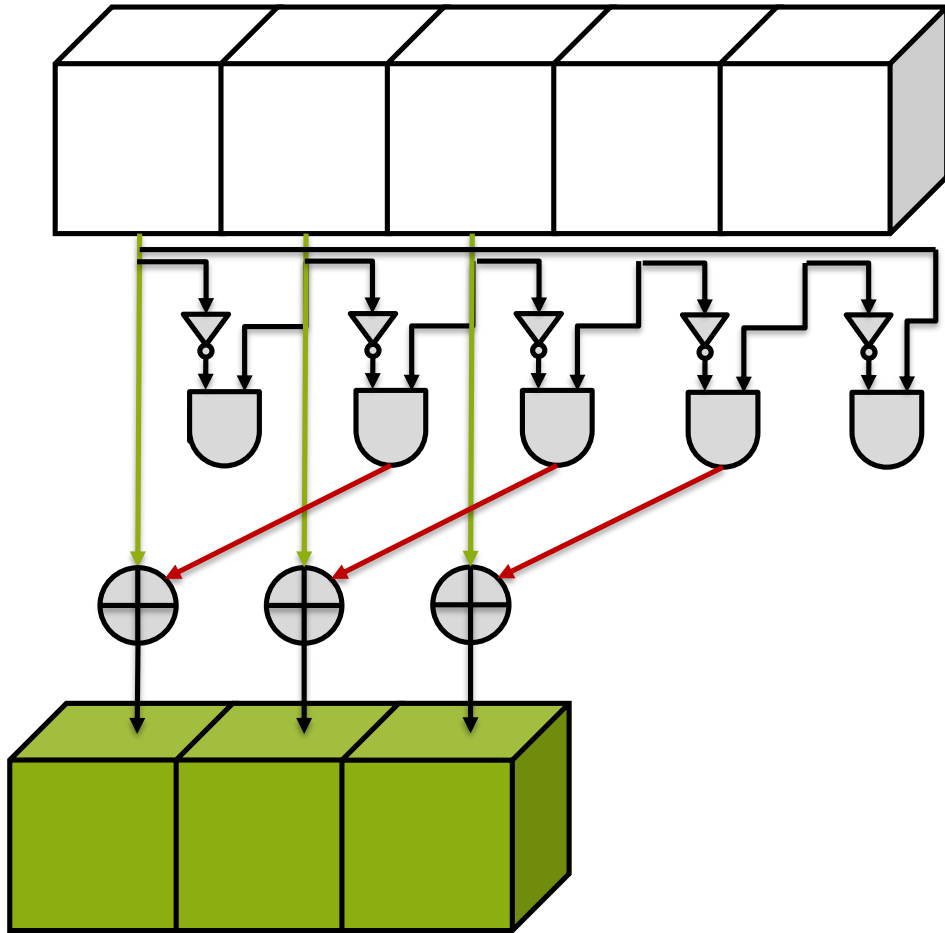




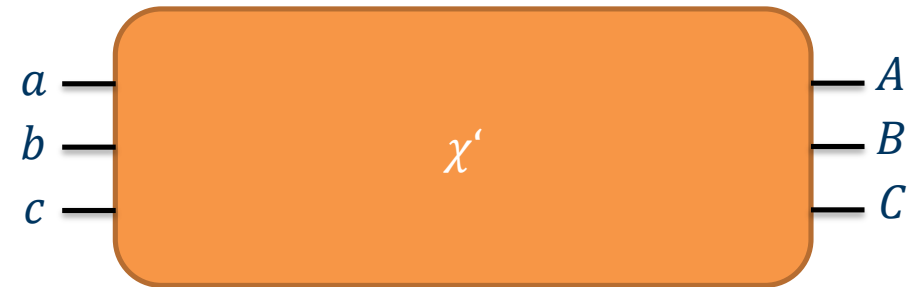
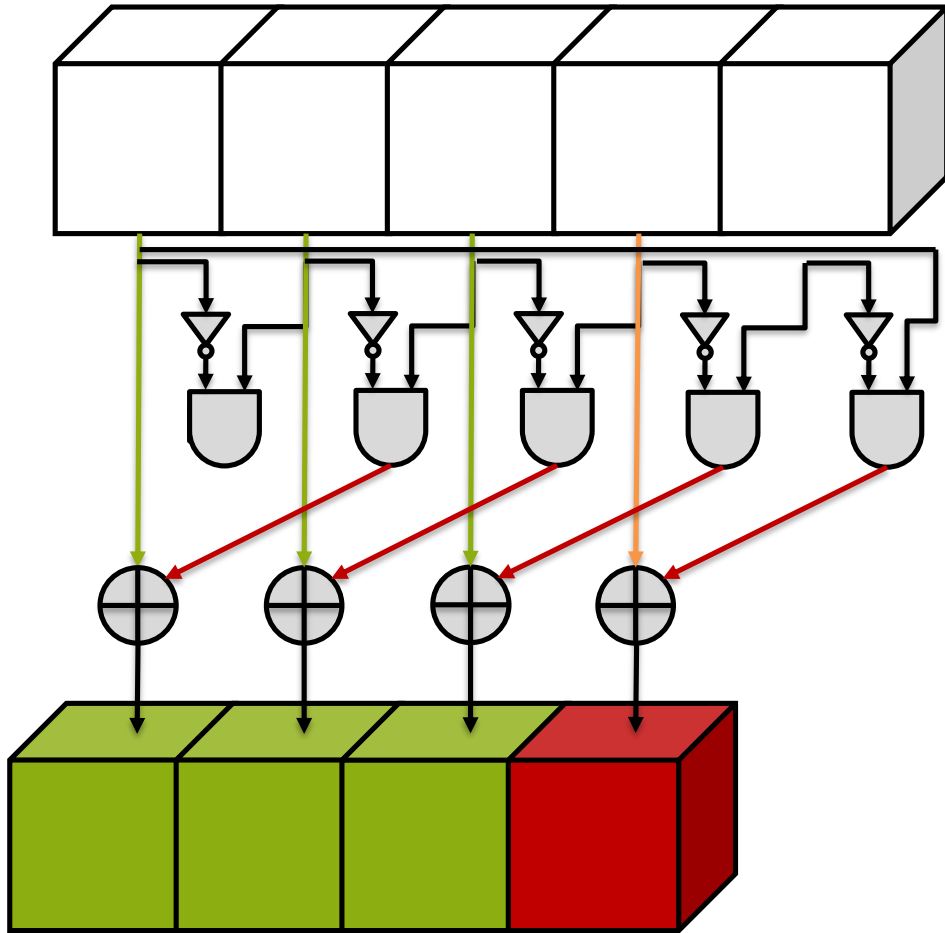
1 single bit: uniform



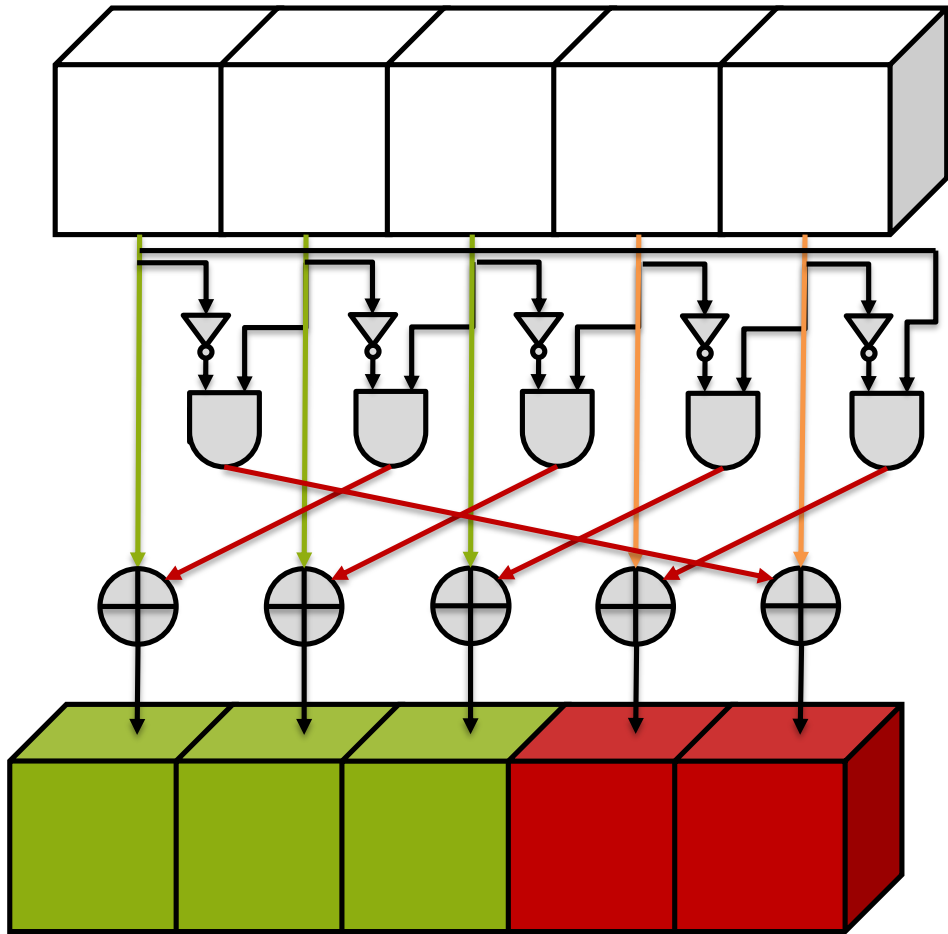
2 bits: jointly uniform



3 bits: jointly uniform



4 bits: not jointly uniform

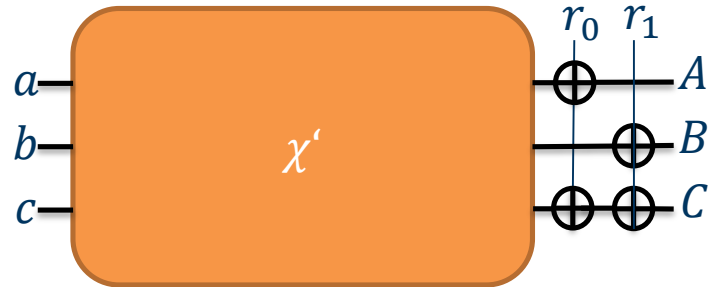


2 out of 5 bits not jointly uniform\*

\*Bilgin et al. Efficient and First-Order DPA Resistant Implementations of Keccak, CARDIS 2013

# Fixing Non-Uniformity

Refresh with 4 bits of fresh randomness\*

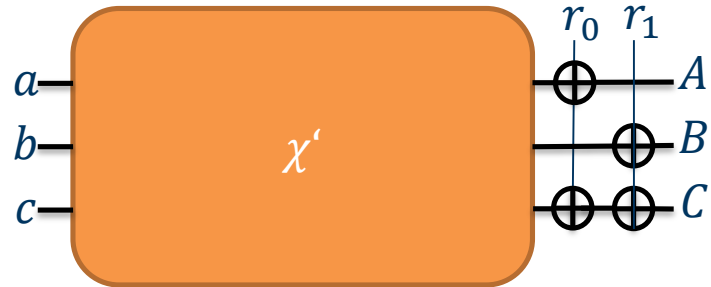


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\*\*Daemen. Changing of the Guards: A Simple and Efficient Method for Achieving Uniformity in Threshold Sharings. CHES 2017

# Fixing Non-Uniformity

Refresh with 4 bits of fresh randomness\*



Use 4 shares\*



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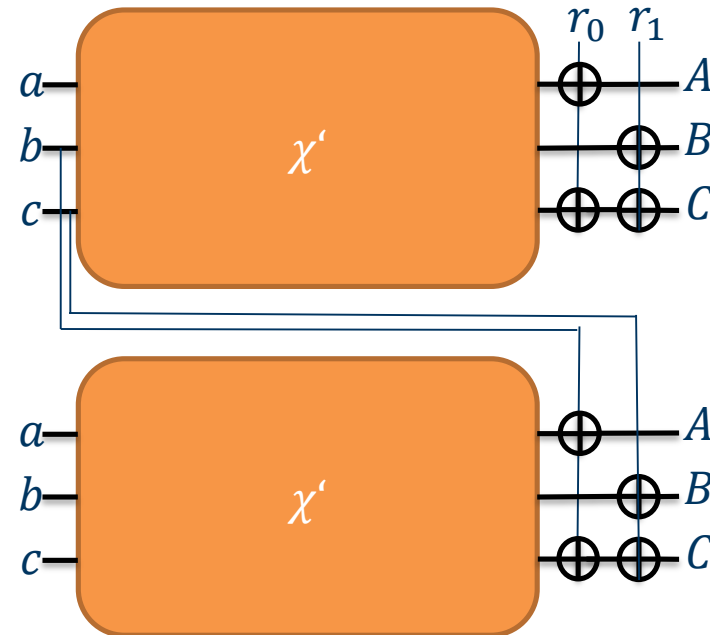
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Changing of the Guards\*\*



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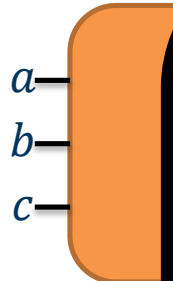
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Refresh with 4 bits of fresh randomness\*

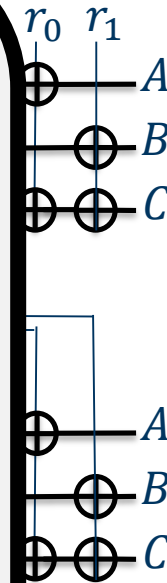
Changing of the Guards\*\*



Use 4 shares



**This Work: Don't fix it.  
Consequences?**



\*Bilgin et al. Efficient and First-Order DPA Resistant Implementations of Keccak, CARDIS 2013

\*\*Daemen. Changing of the Guards: A Simple and Efficient Method for Achieving Uniformity in Threshold Sharings. CHES 2017

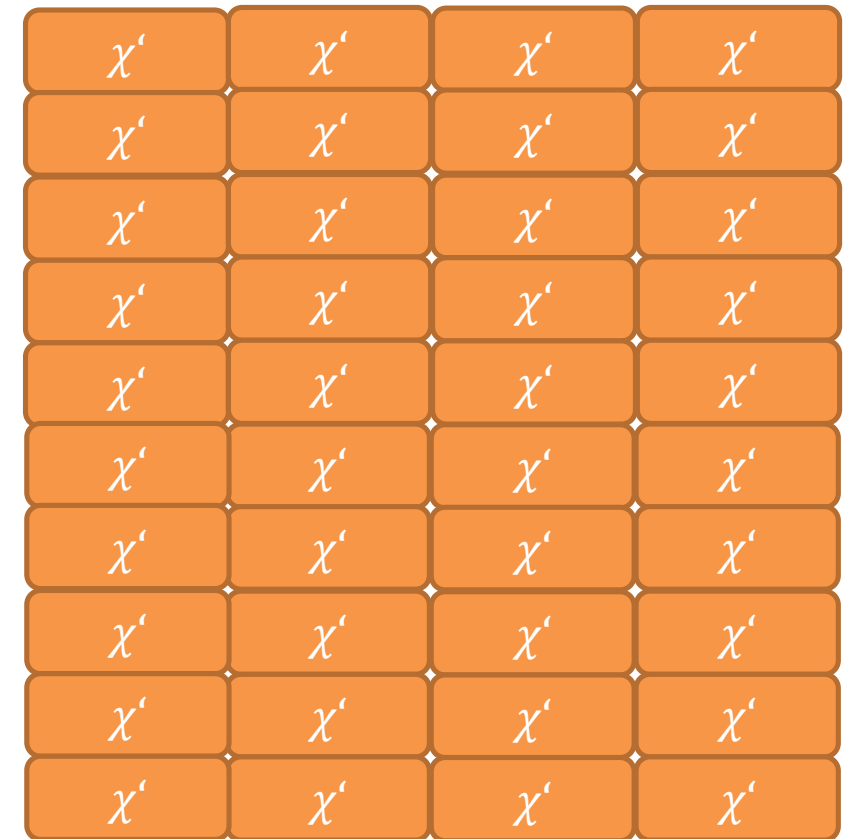
# Hardware Target

## How many parallel S-boxes?

Serialized



Round-based

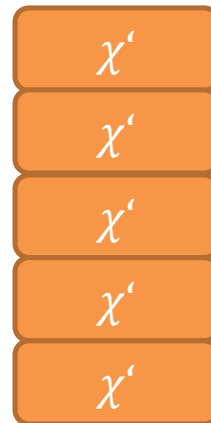


## How many parallel S-boxes?

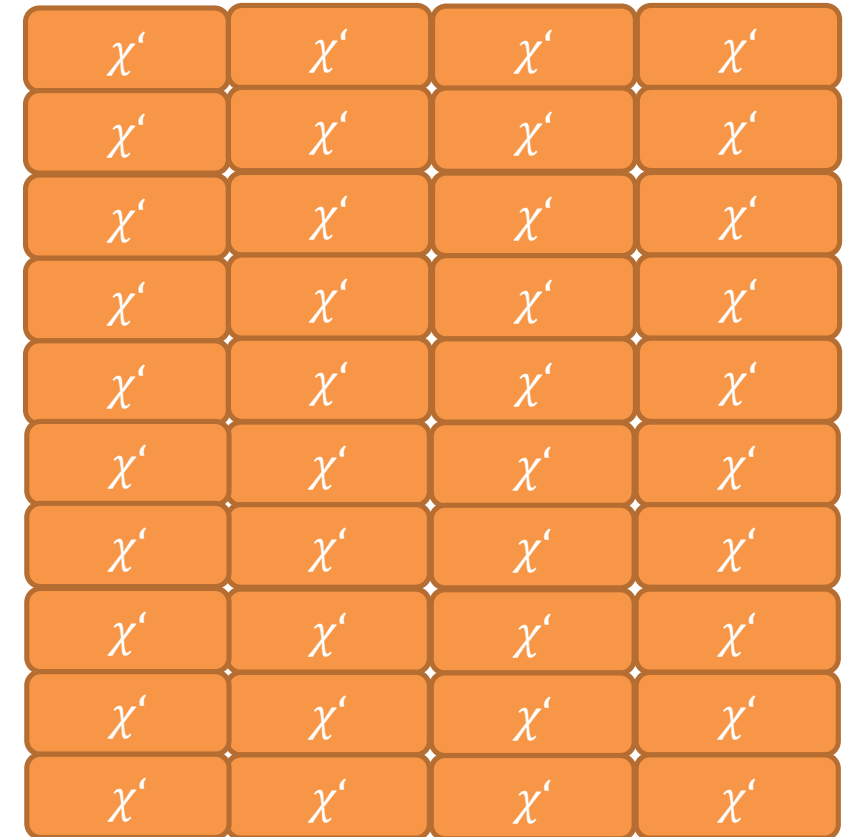
Serialized



Slice-based



Round-based

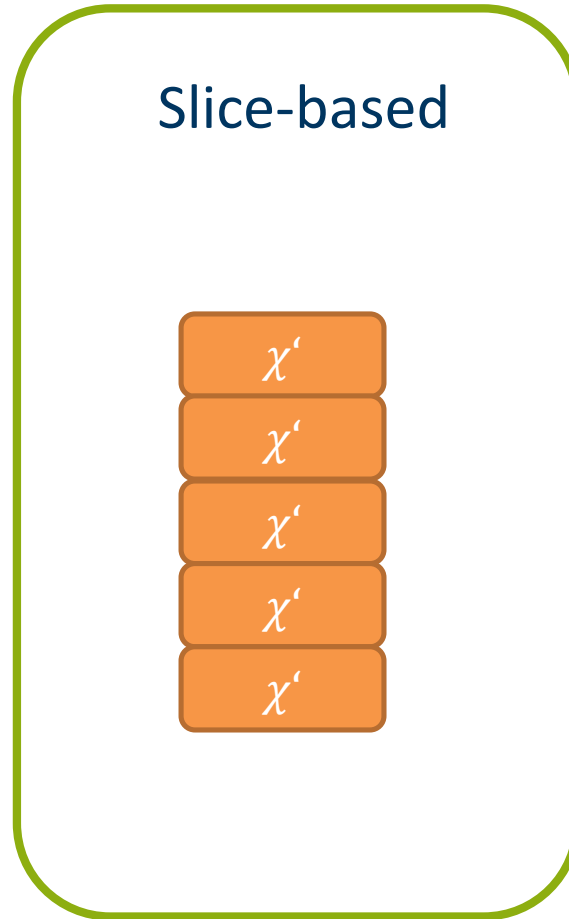


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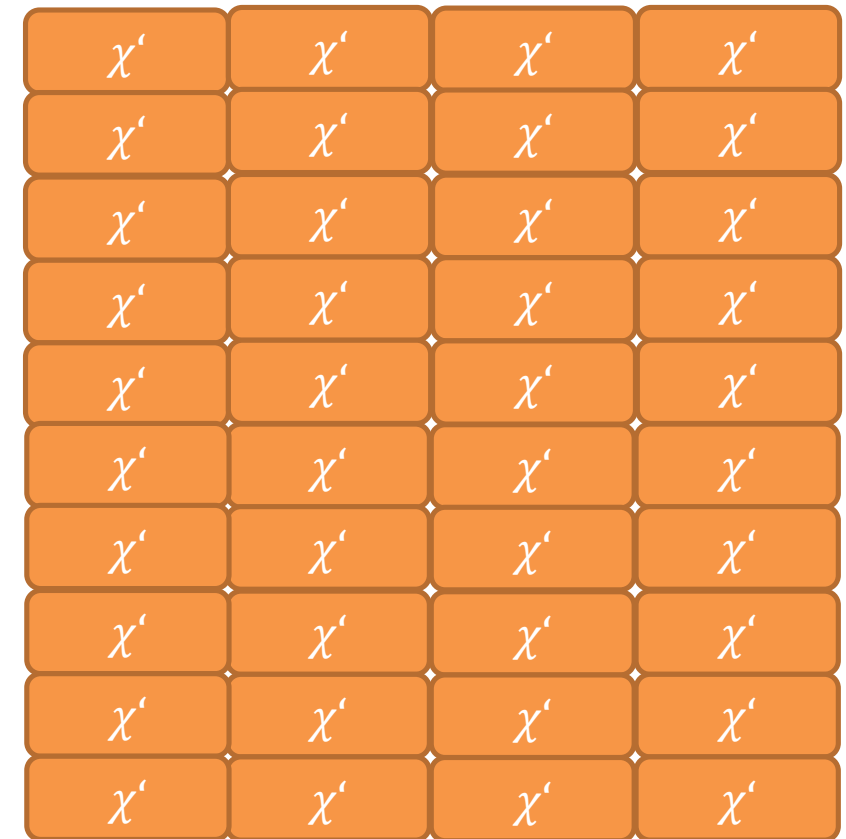
Serialized



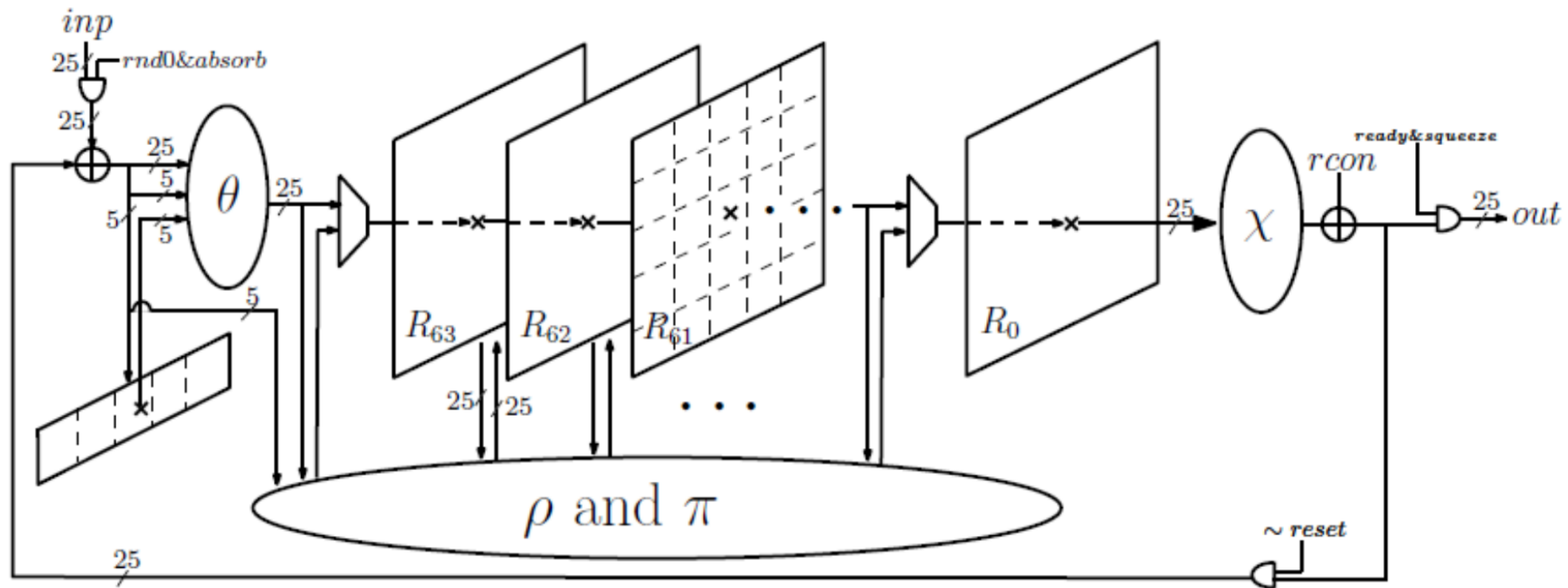
Slice-based



Round-based



- Slice-Serial: 5 parallel  $\chi$  evaluations
- Special treatment:  $\theta$  applied to slice 0



# Leakage Evaluation

## Evaluation methodology:

- Non-specific T-test „fixed vs. Random“
  - over entire 200bit state
  - with 100 million traces
- Each trace: entire last round

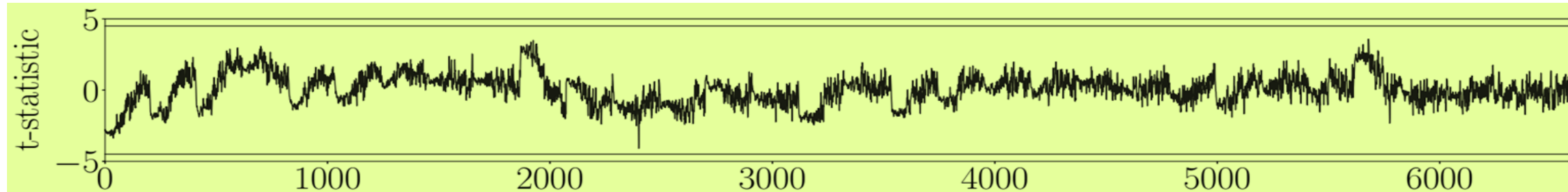
## Measurement Setup:

- SAKURA-G board @ 1.5Mhz
- Picoscope 6402 @ 625 MS/s
- Amplifier: ZFL-100LN+ (Mini-Circuits)

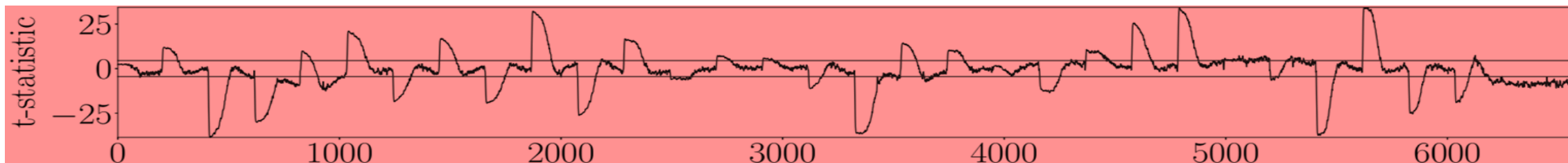


# 18 Rounds of Keccak

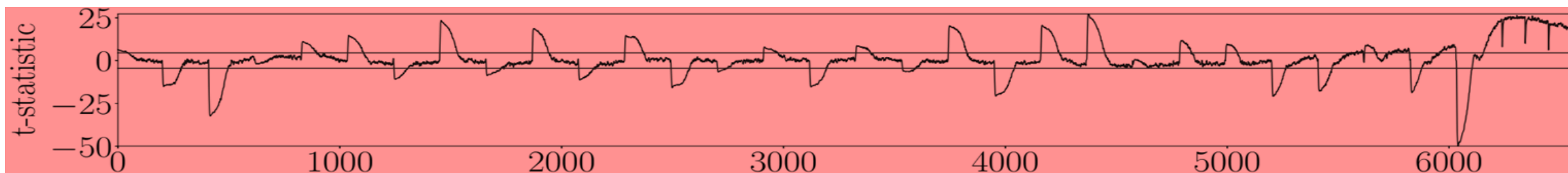
## 1. order over time



## 2. order over time

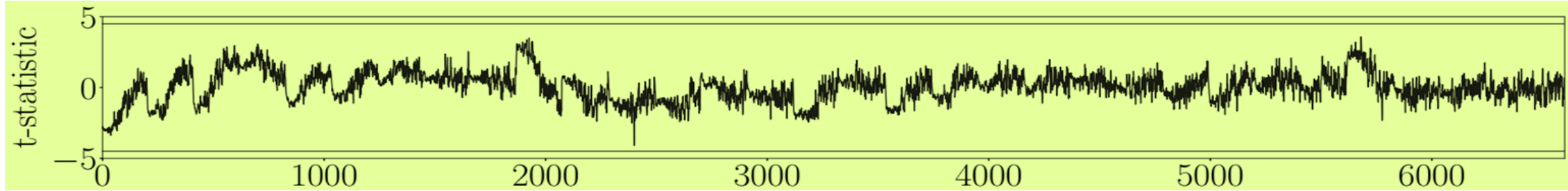


## 3. order over time

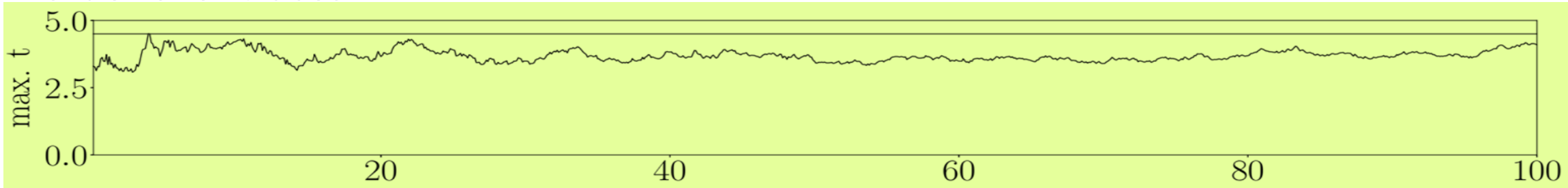


# 18 Rounds of Keccak

## 1. order over time

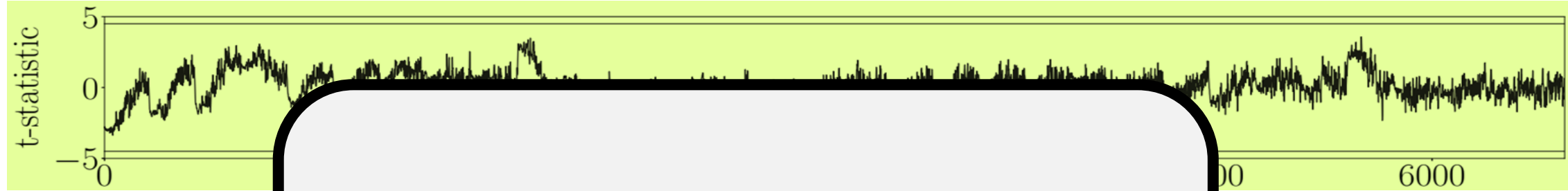


## 1. order over traces



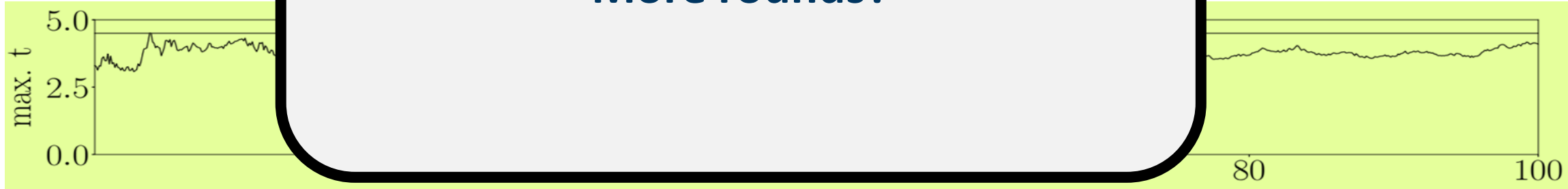
# 18 Rounds of Keccak

## 1. order over time



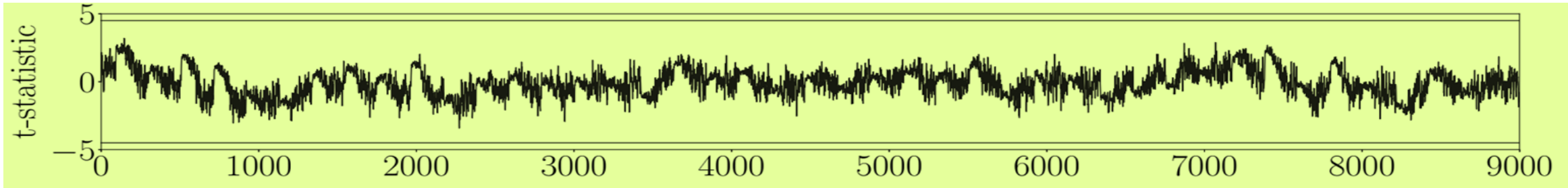
**Works fine.  
More rounds?**

## 1. order over time

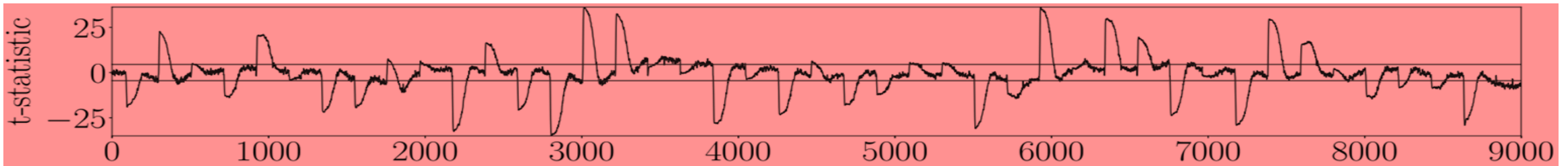


# 1800 Rounds of Keccak

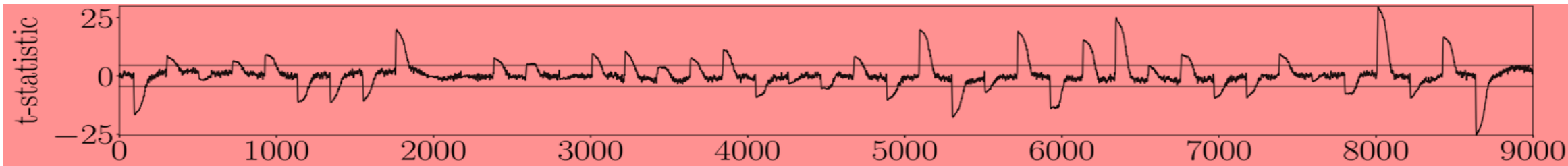
## 1. order over time



## 2. order over time

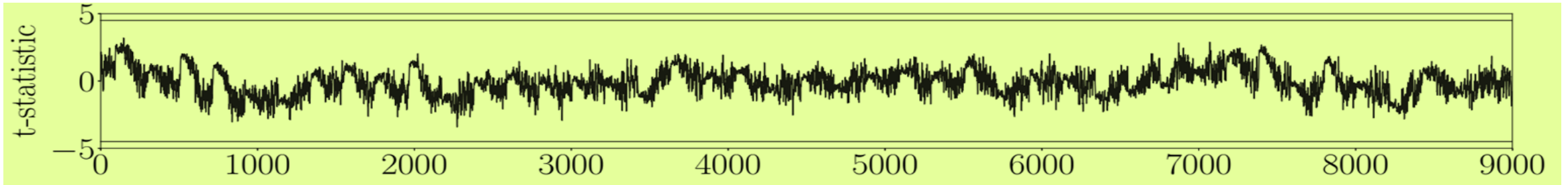


## 3. order over time

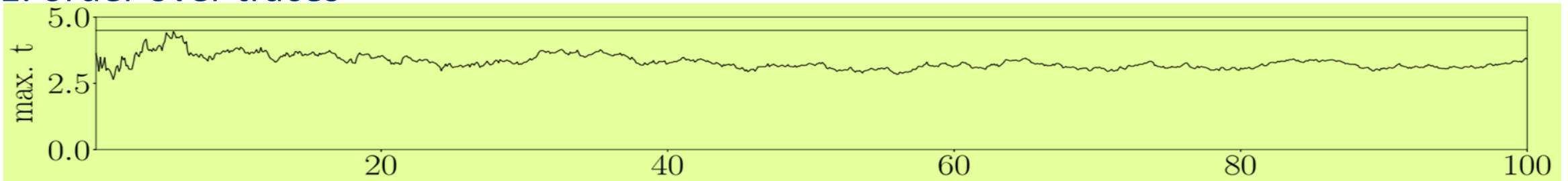


# 1800 Rounds of Keccak

## 1. order over time

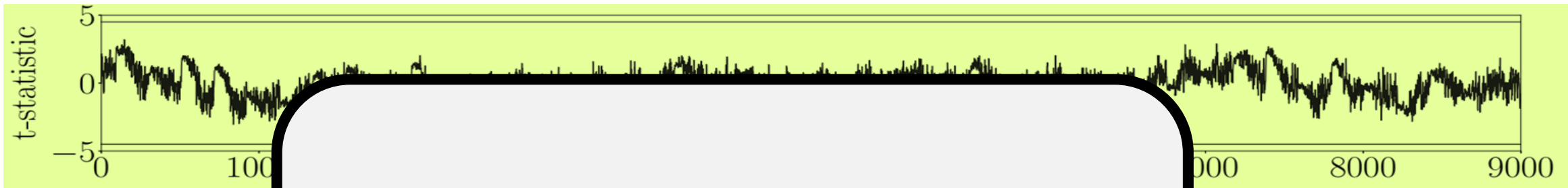


## 1. order over traces



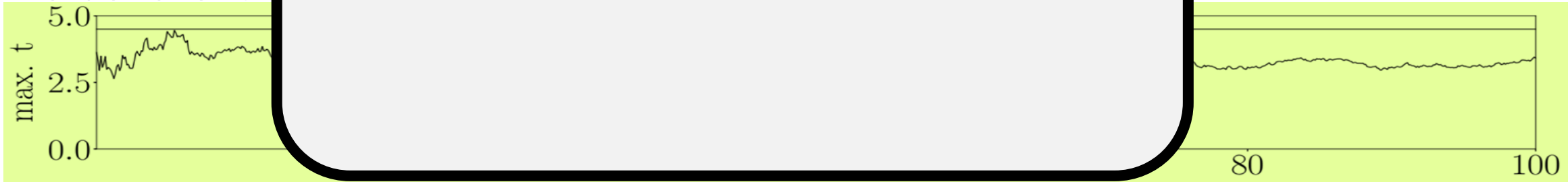
# 1800 Rounds of Keccak

## 1. order over time

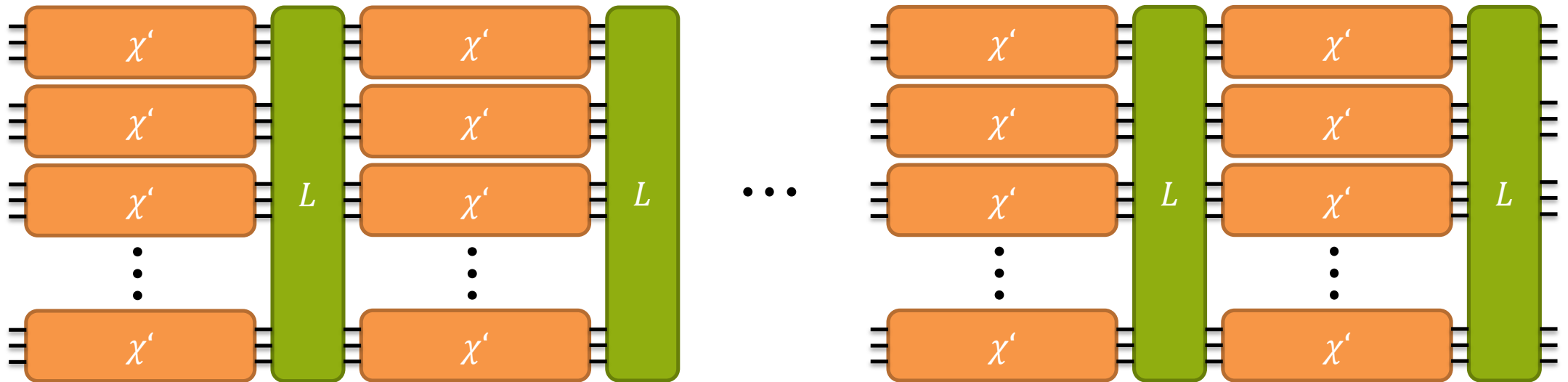


**Origin of entropy?**

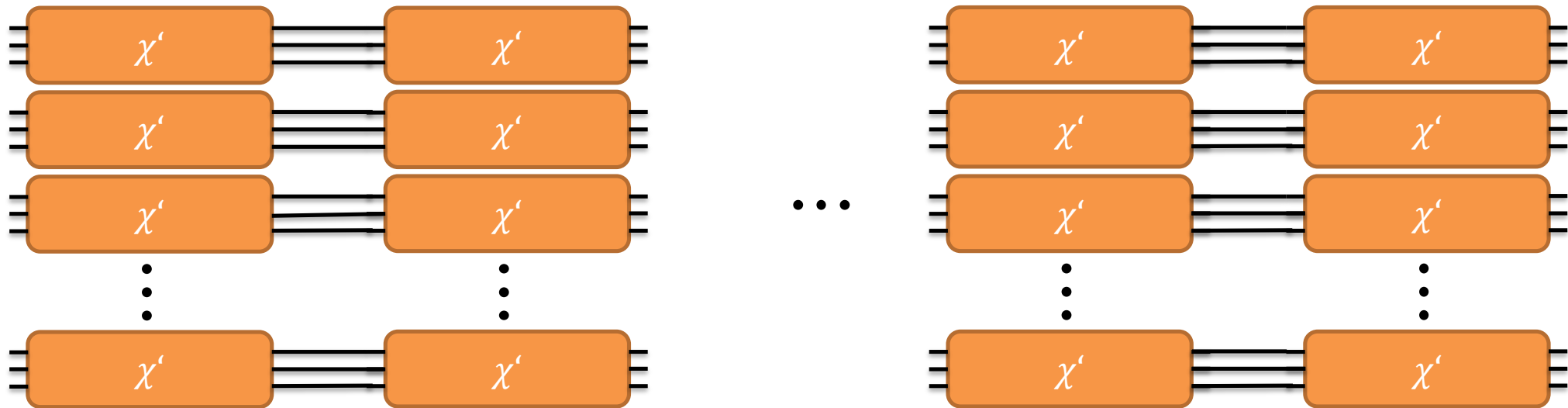
## 1. order over time



# Source of Diffusion: Linear Layer

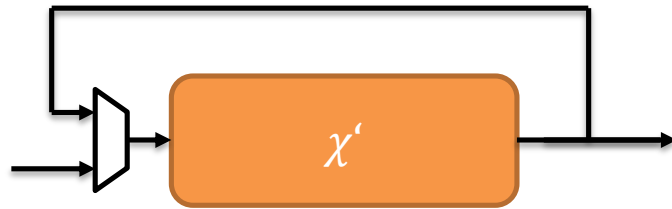


# Experiment: Remove Linear Layer





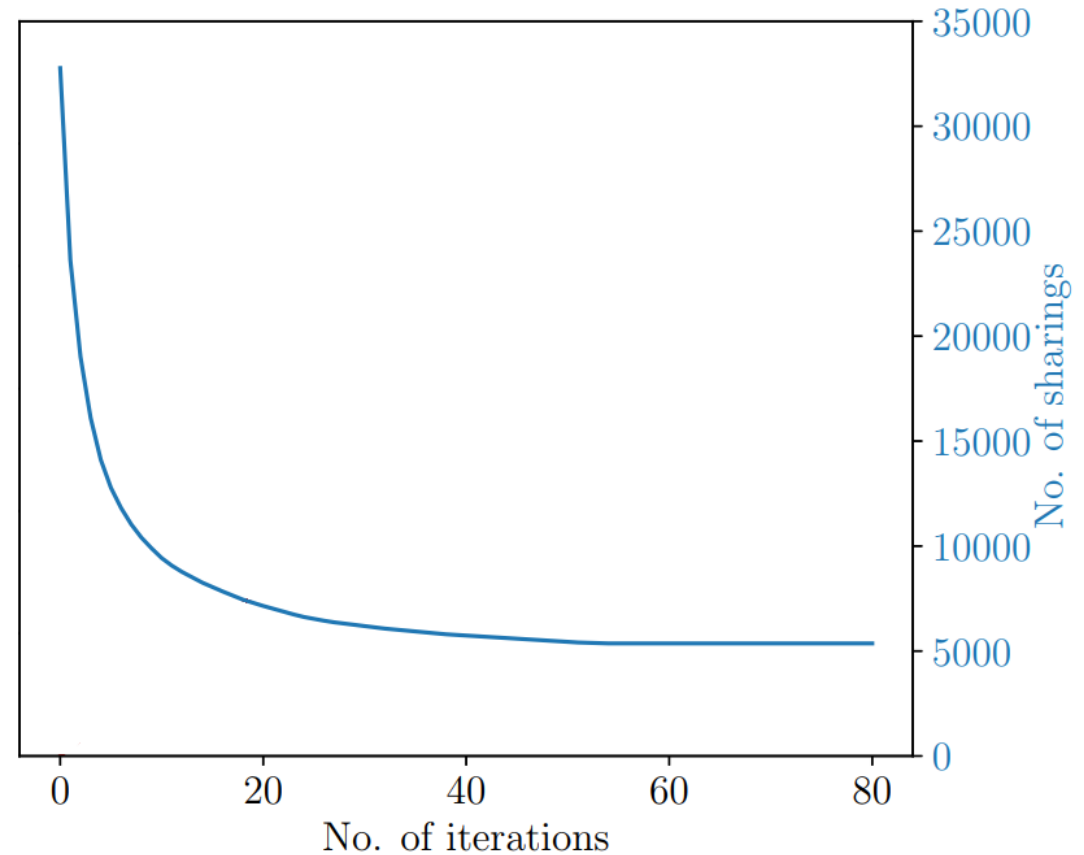
- Compute one instance of  $\chi'$  on all  $2^{15}$  inputs
- Feed outputs back into it
- Stop when plateau reached



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- Feed outputs back into it
- Stop when plateau reached

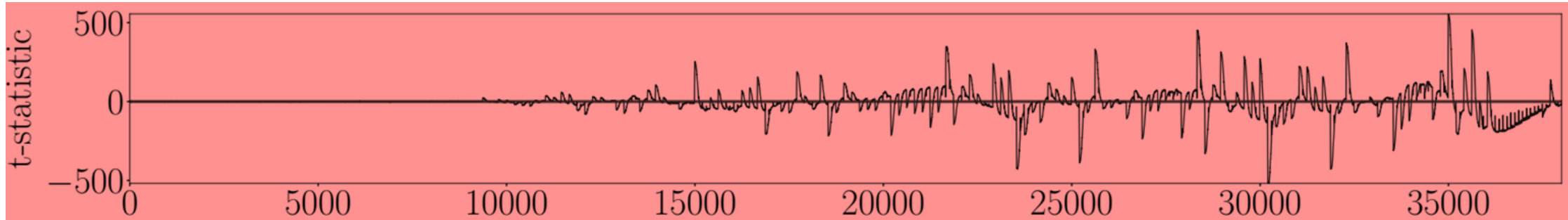


Result:

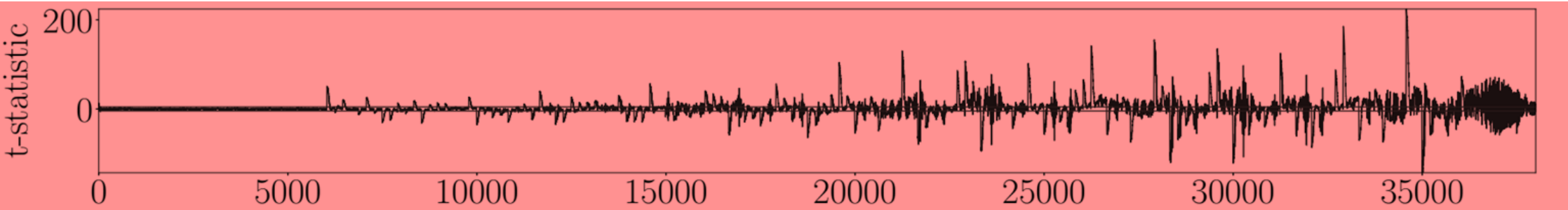


# 18 Rounds of $\chi'$

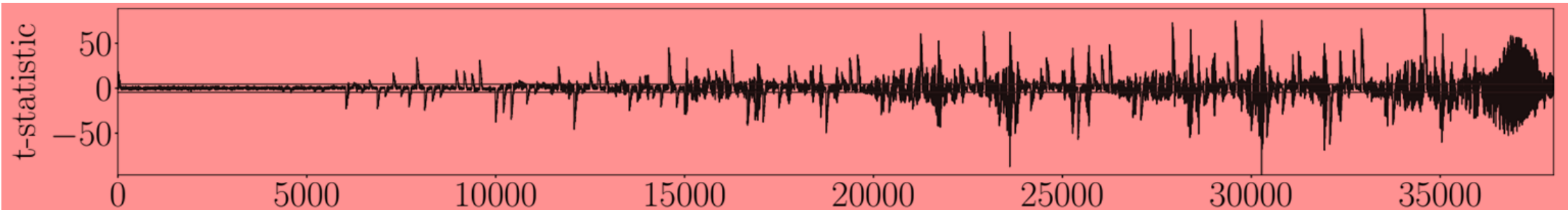
## 1. order over time



## 2. order over time

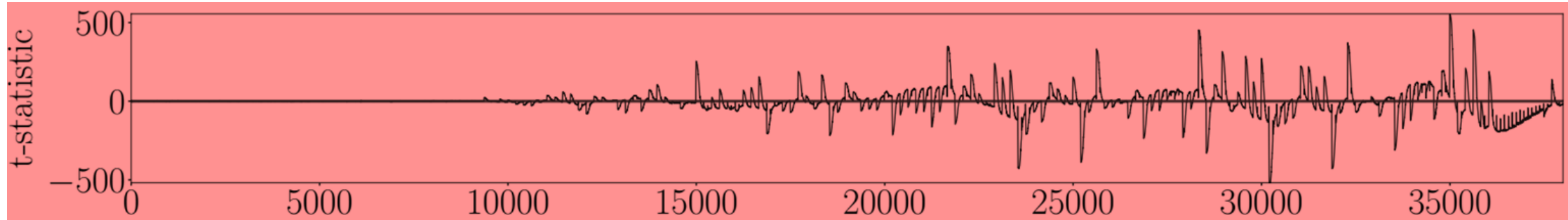


## 3. order over time



# 18 Rounds of $\chi'$

## 1. order over time

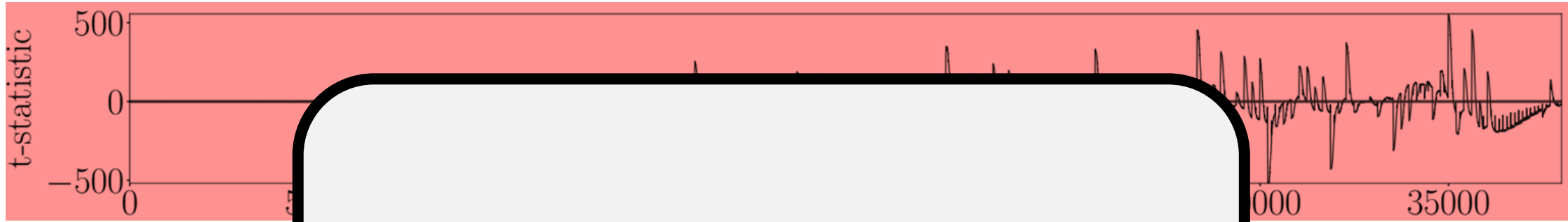


## 1. order over traces



# 18 Rounds of $\chi'$

1. order over time



**How much diffusion is needed?**

1. order over tra



# Linear Layer: Shuffling and Mixing

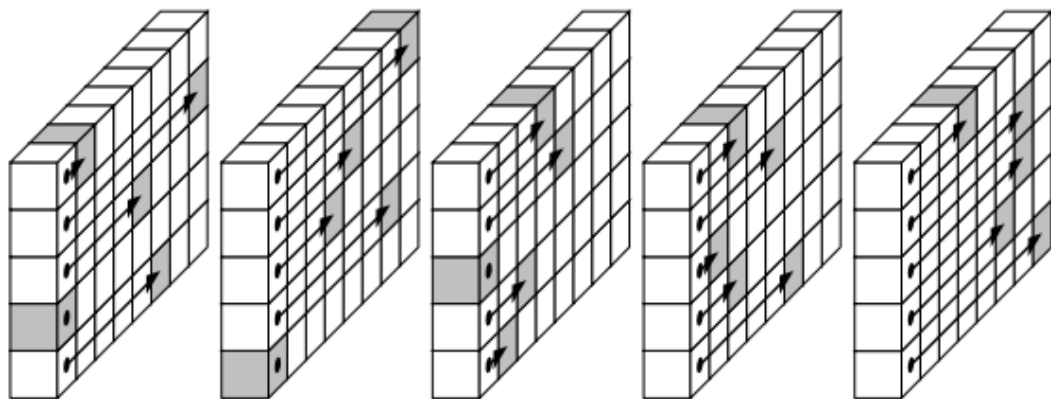
$\rho$

$\pi$

$\theta$

$l$

# Linear Layer: Shuffling and Mixing

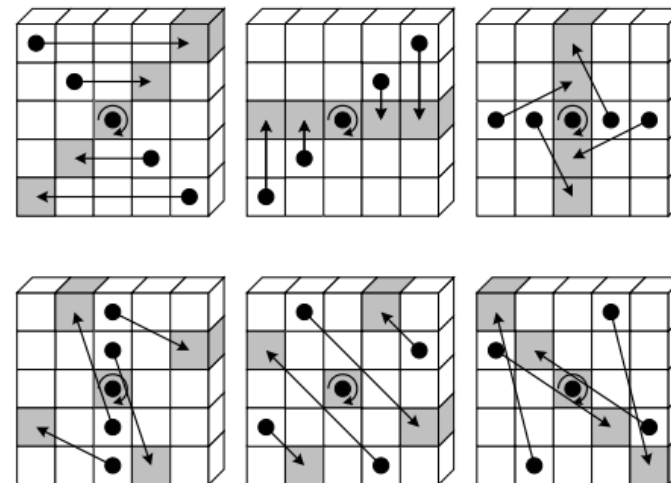
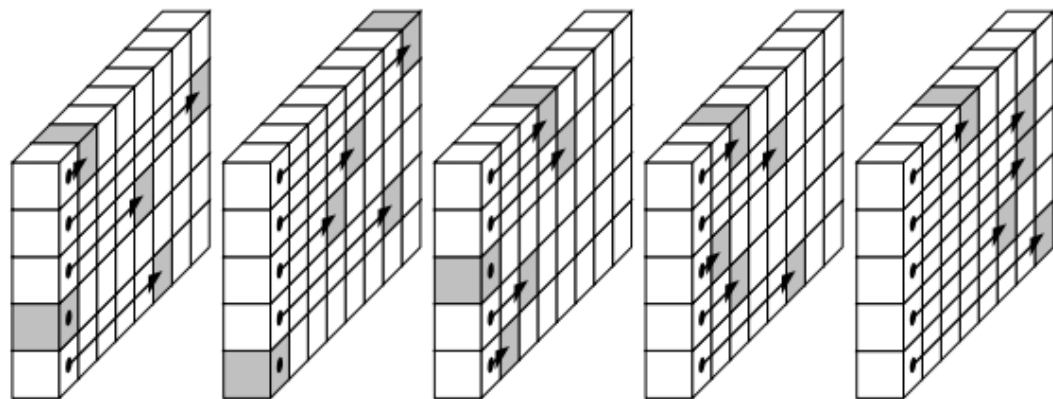


$\pi$

$\theta$

$l$

# Linear Layer: Shuffling and Mixing

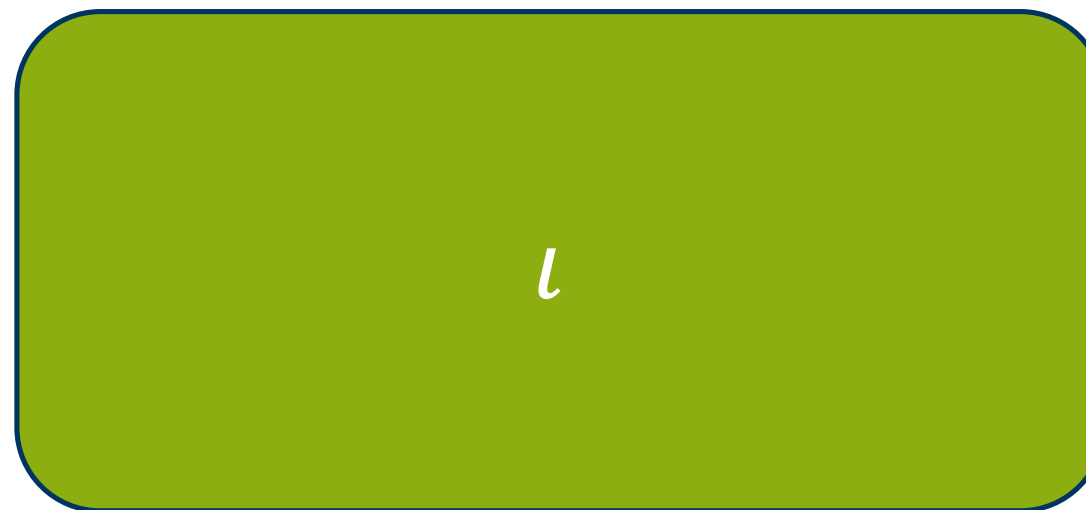
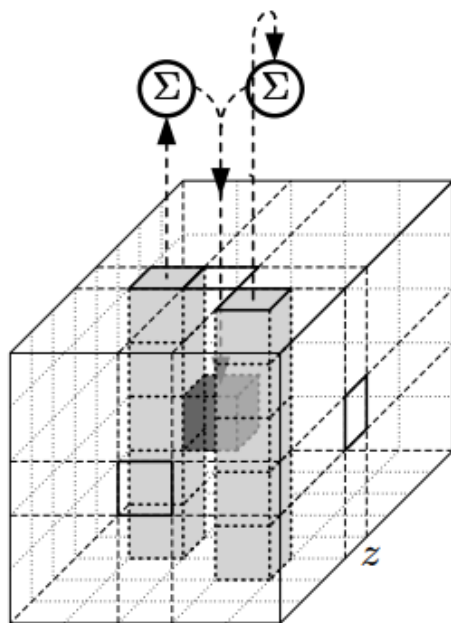
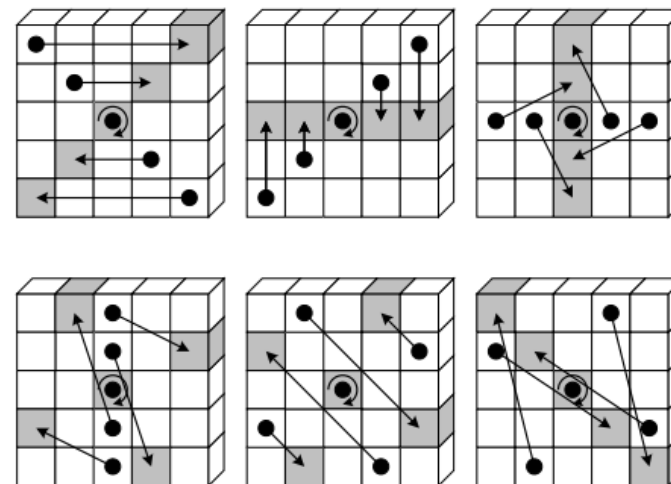
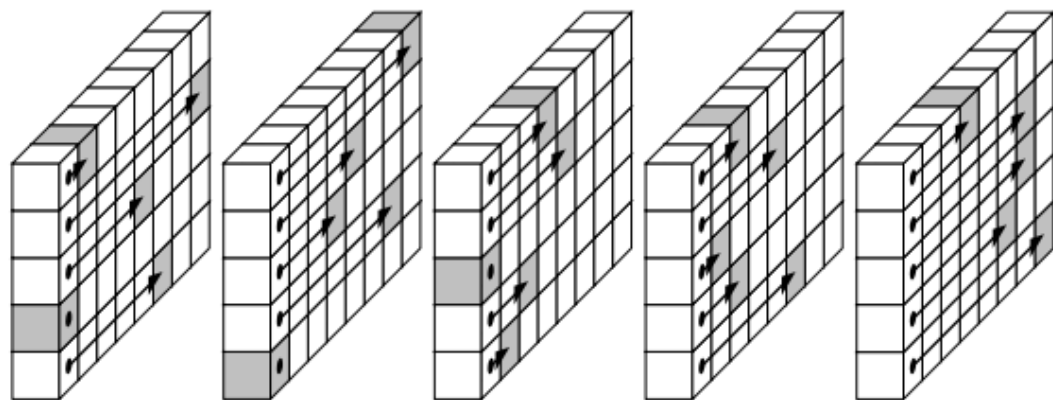


$\theta$

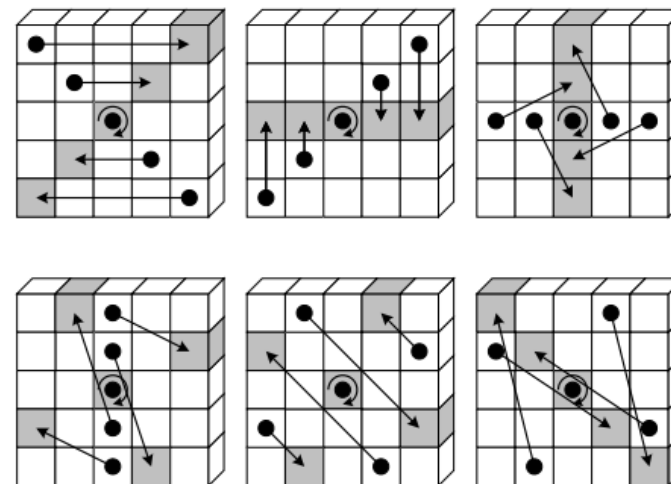
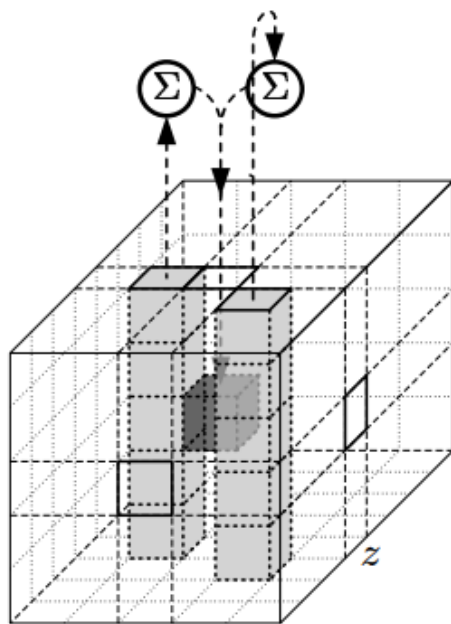
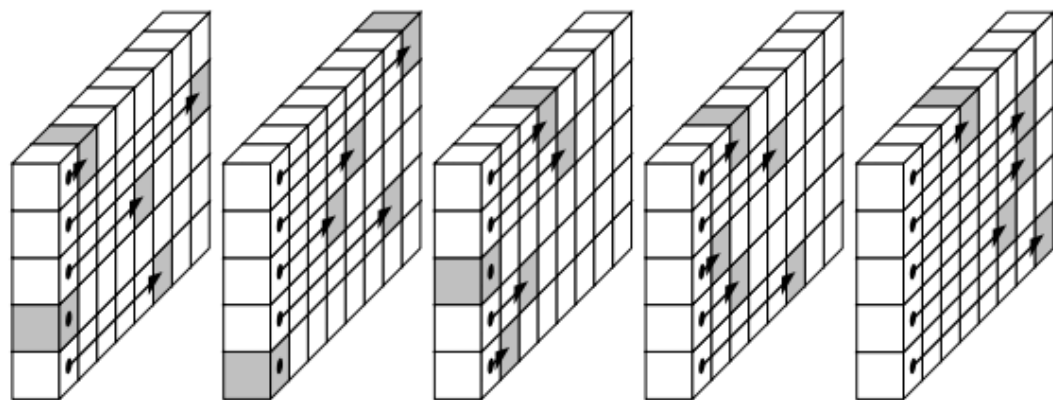
$l$



# Linear Layer: Shuffling and Mixing

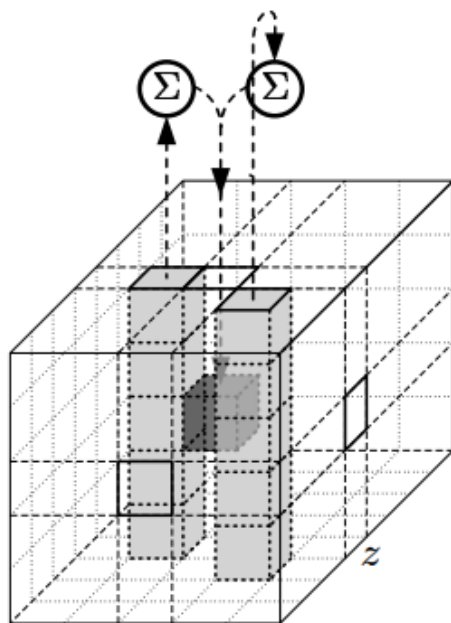
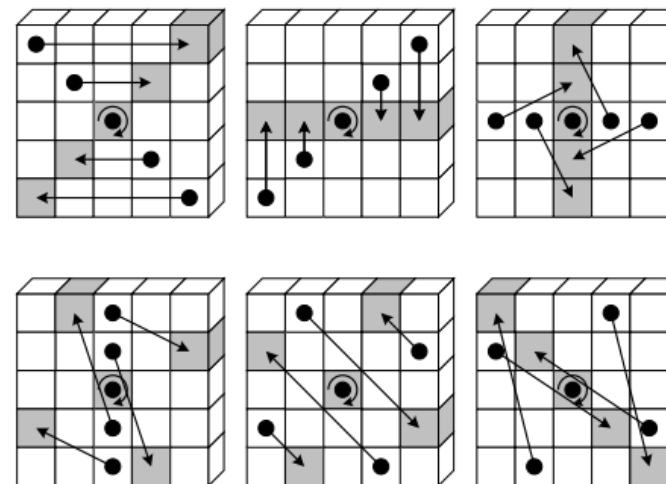
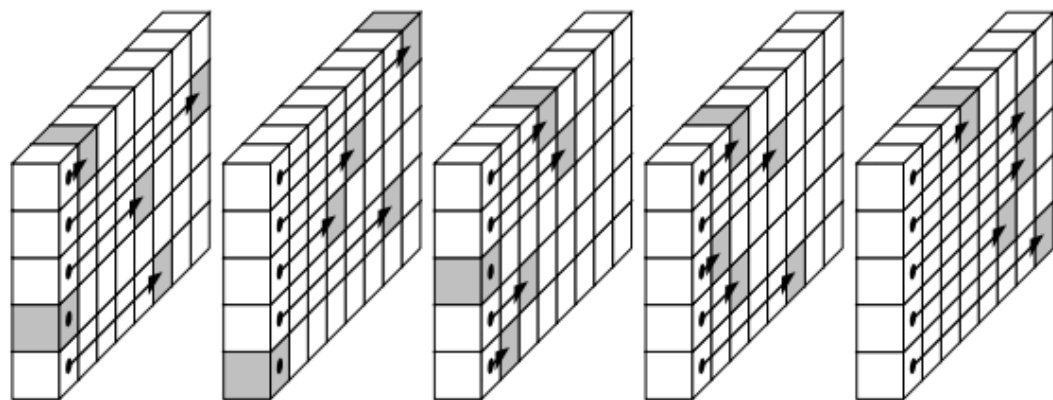


# Linear Layer: Shuffling and Mixing



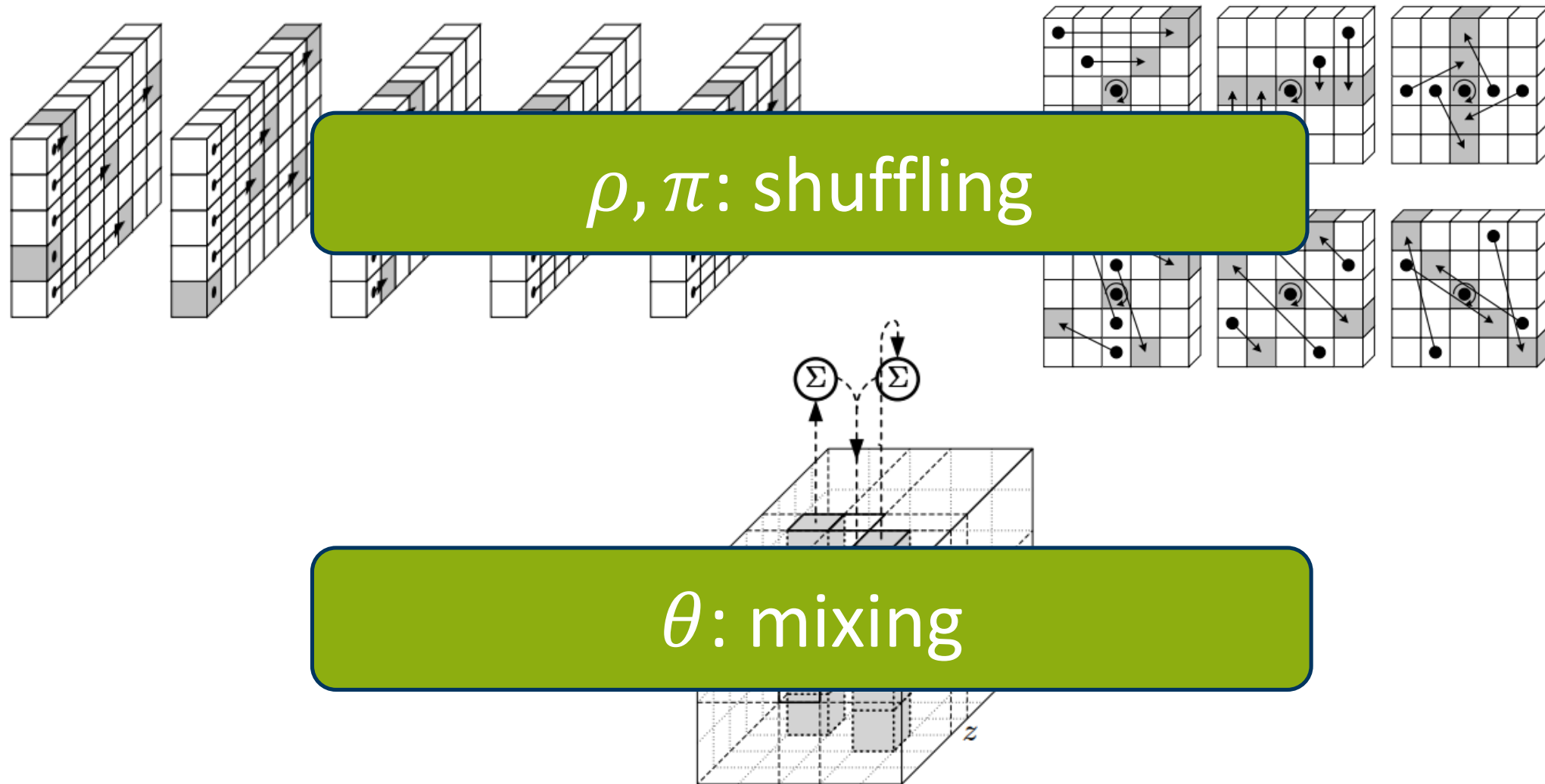
round constant

# Linear Layer: Shuffling and Mixing



~~round constant~~

# Linear Layer: Shuffling and Mixing



How to simulate entropy of masked Keccak- $f$ [200]?

**Exhaustive Testing:**  
 $2^{600}$  states - impossible

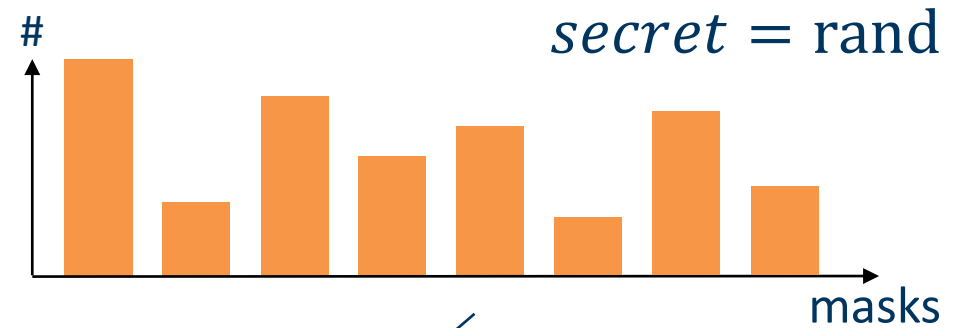
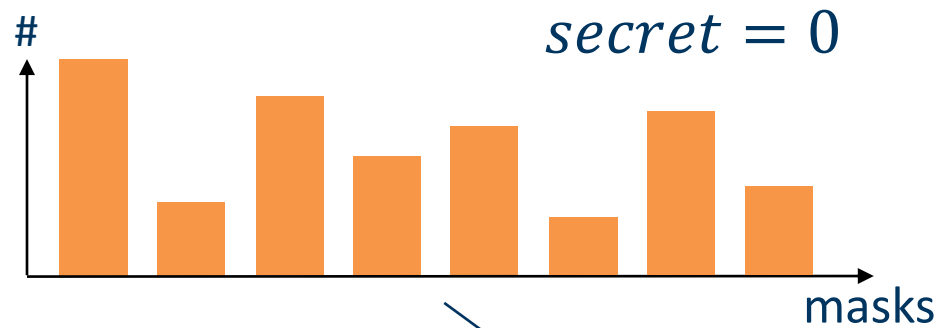
How to simulate entropy of masked Keccak- $f$ [200]?

**Exhaustive Testing:**  
 $2^{600}$  states - impossible

**Sampling:**  
„fixed vs. random“  
*without* power model

Group 0: all zero plaintext

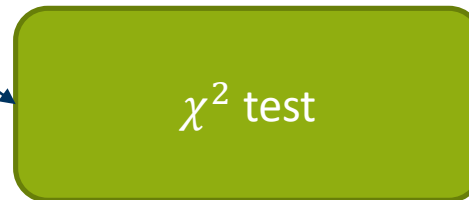
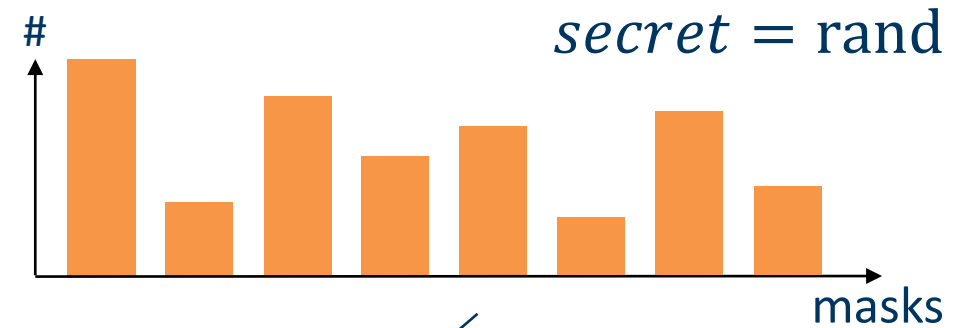
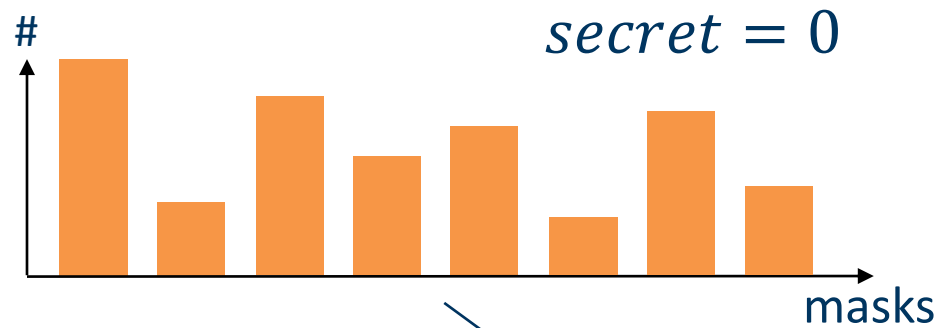
Group 1: random plaintext



Compare distribution.

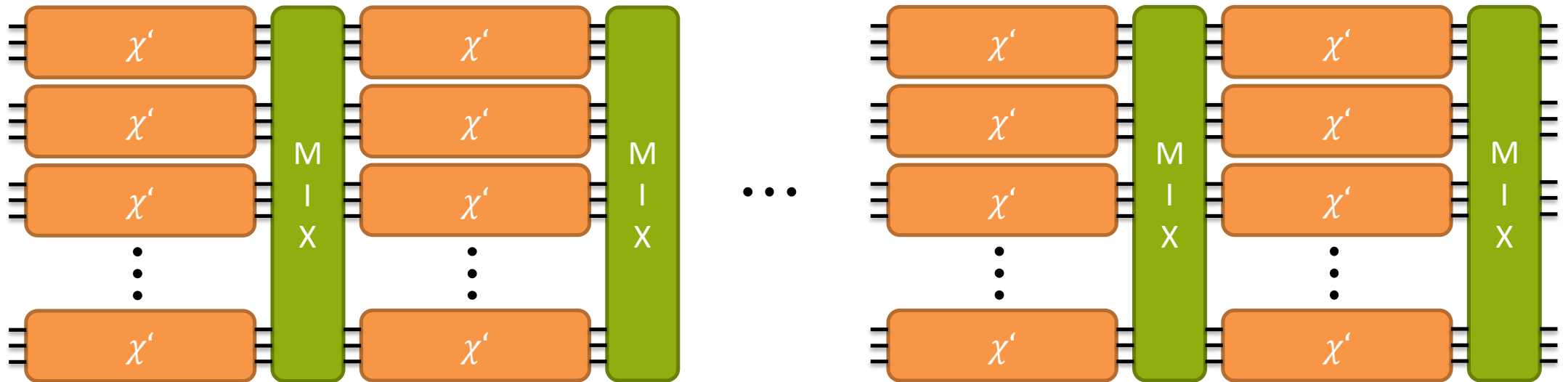
Group 0: all zero plaintext

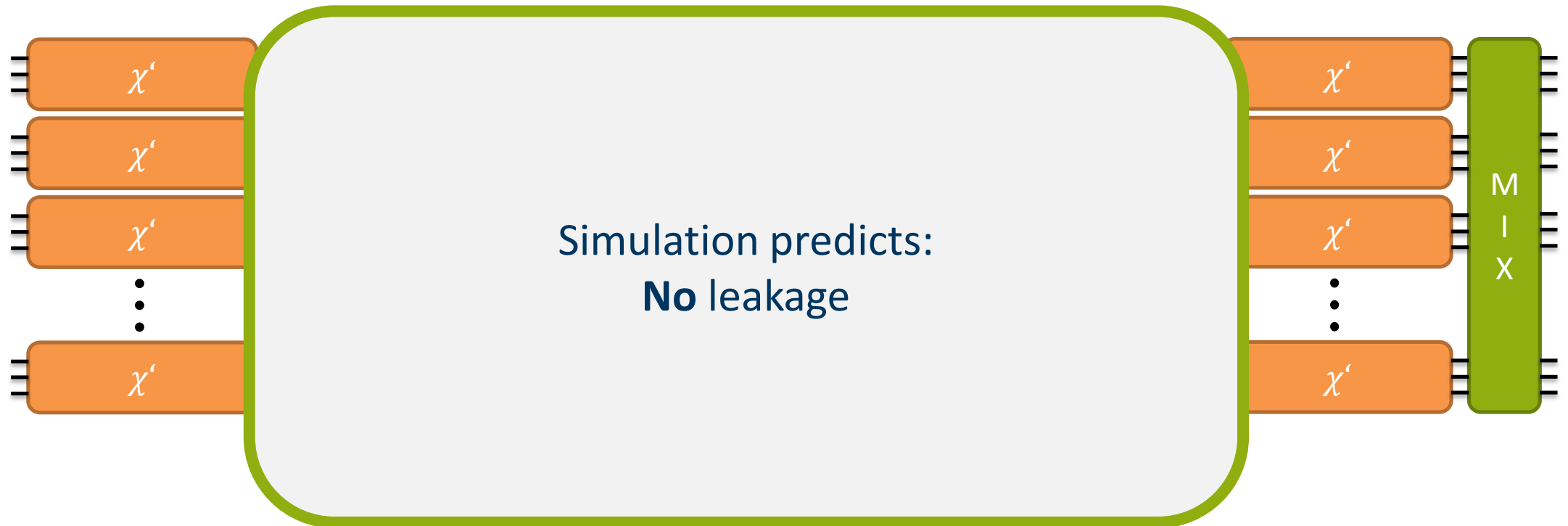
Group 1: random plaintext





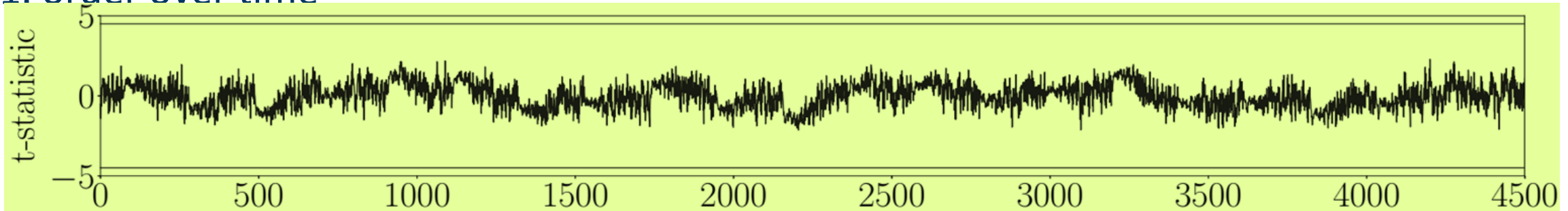
# Next Design: Mix Only



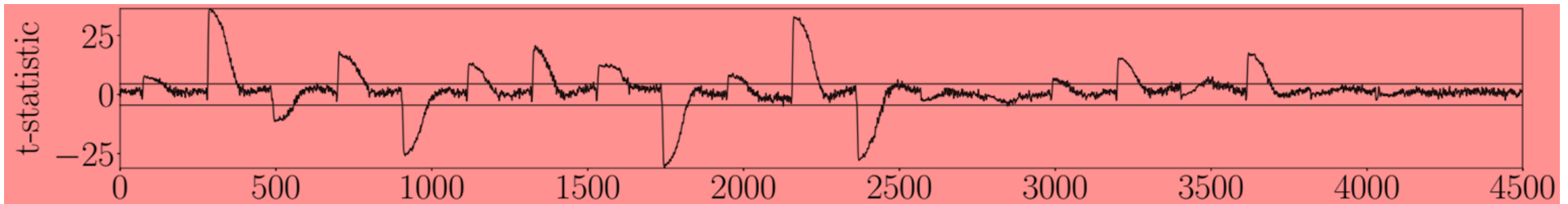


# 18 Rounds of Mixing: $\chi', \theta$

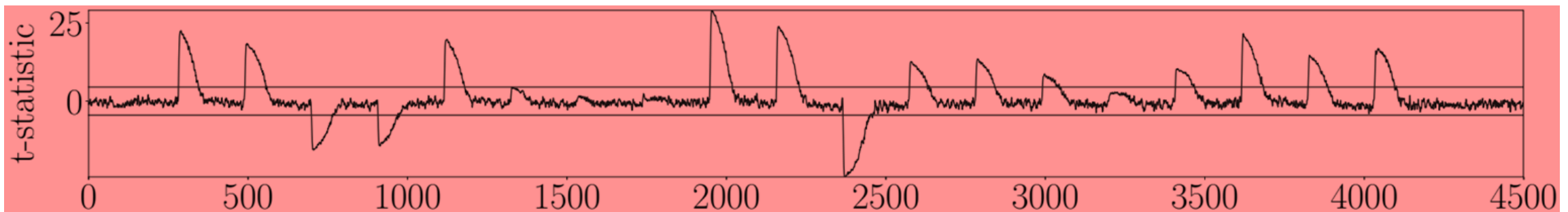
## 1. order over time



## 2. order over time

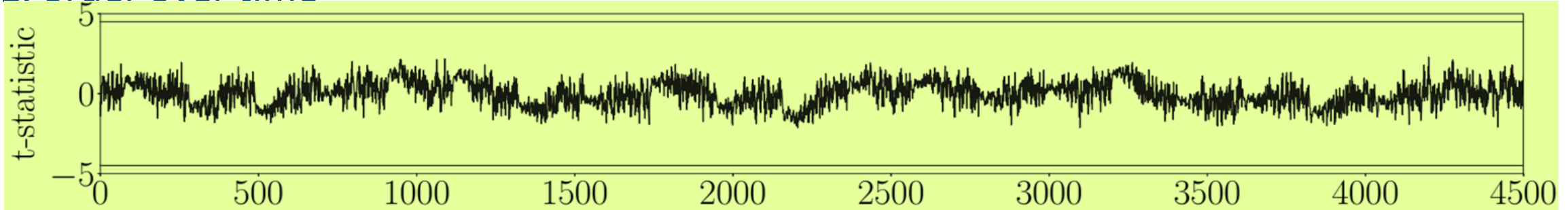


## 3. order over time

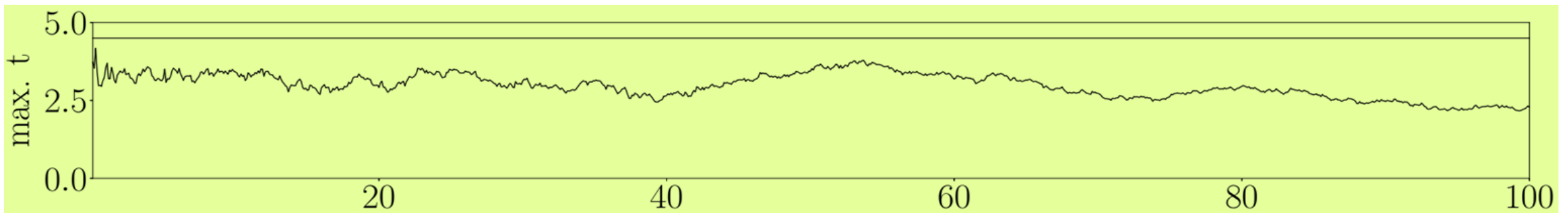


# 18 Rounds of Mixing: $\chi', \theta$

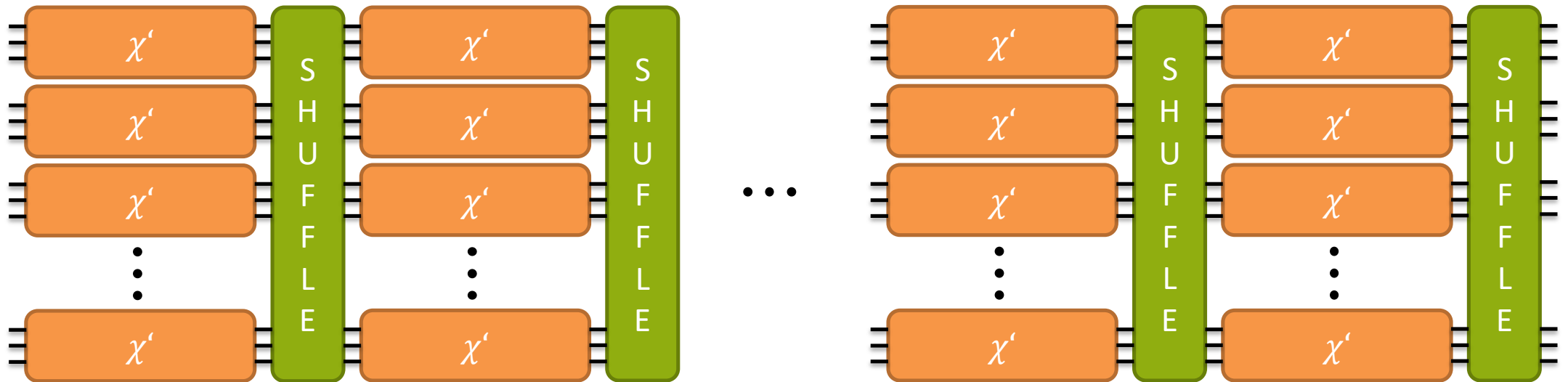
## 1. order over time



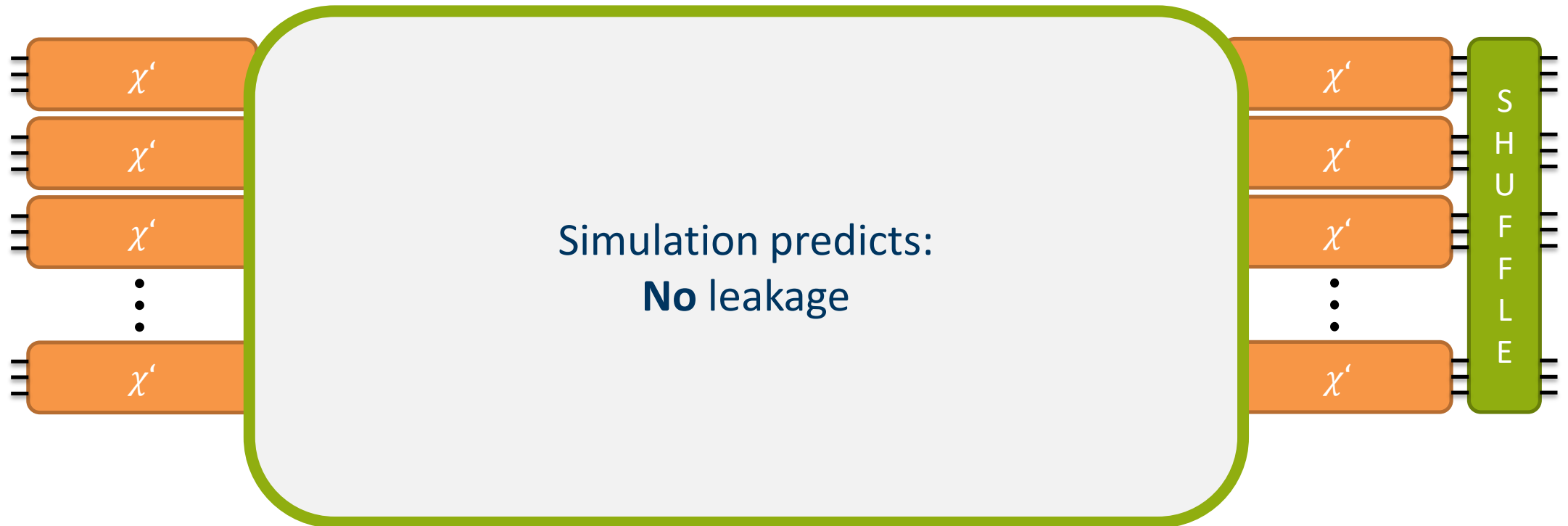
## 1. order over traces



# Next Design: Shuffle Only

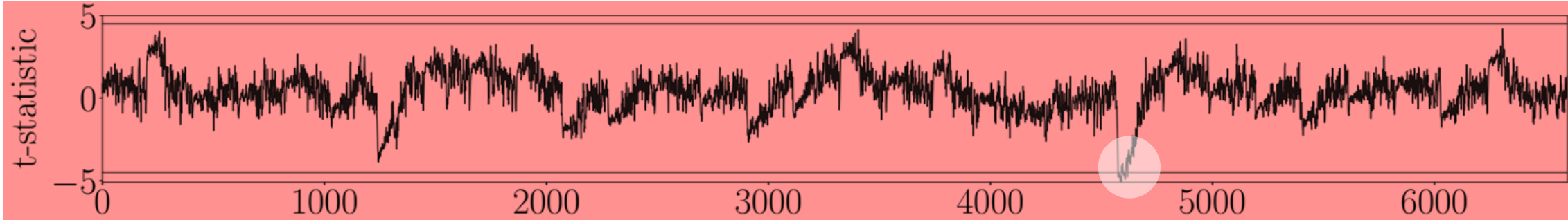


# Next Design: Shuffle Only

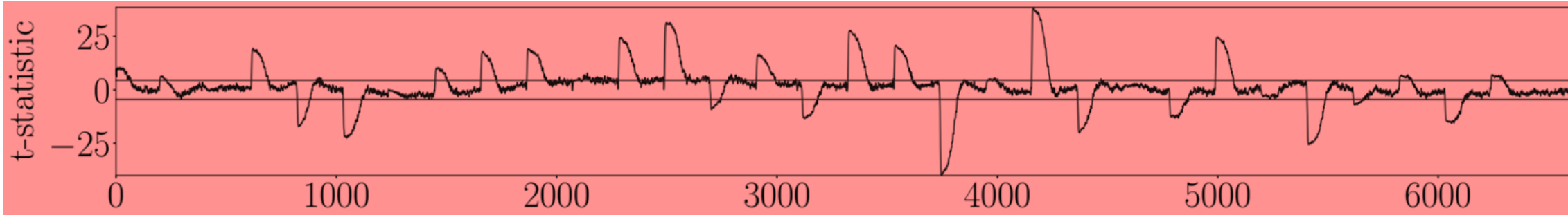


# 18 Rounds of Shuffling: $\chi'$ , $\rho$ , $\pi$

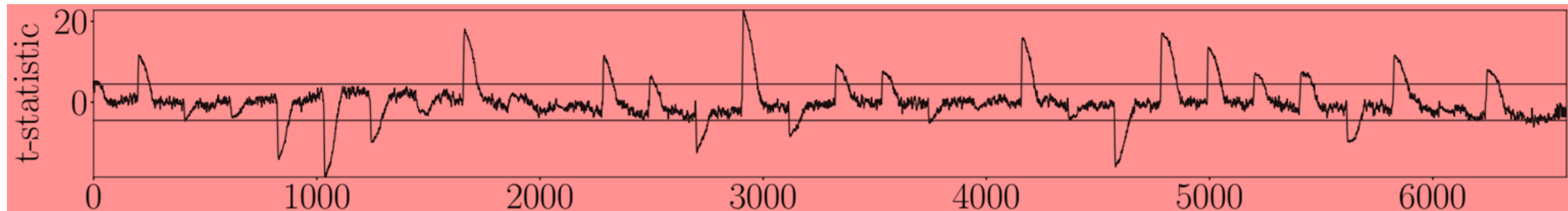
## 1. order over time



## 2. order over time

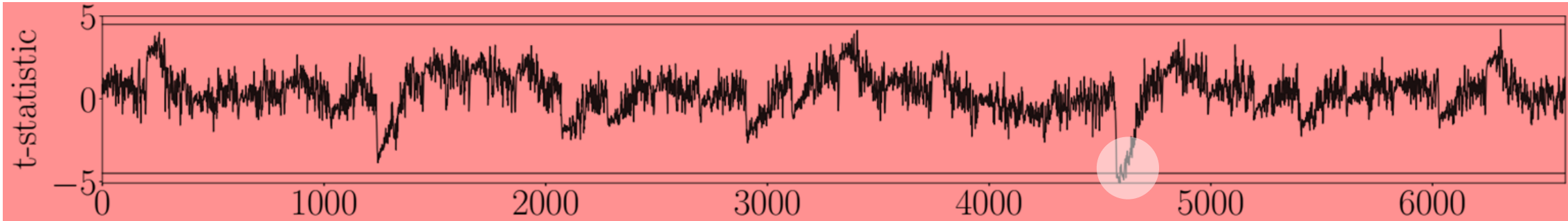


## 3. order over time

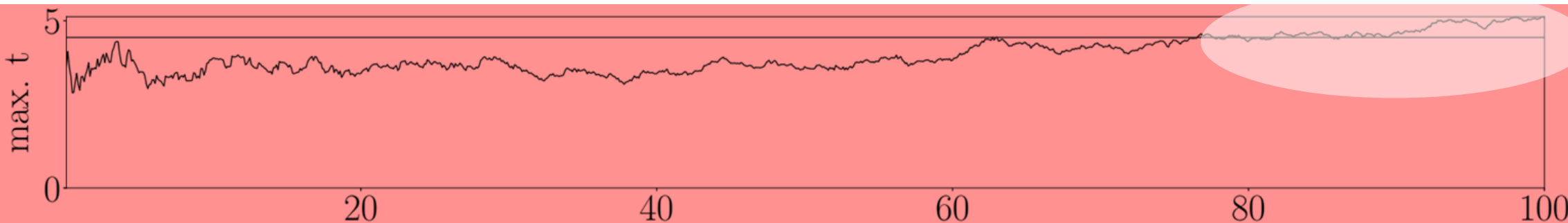


# 18 Rounds of Shuffling: $\chi'$ , $\rho$ , $\pi$

## 1. order over time



## 1. order over traces





## Practical Measurements

Active Layers	Detectable Leakage?
Sbox $\chi'$	Yes!
Mix $\chi', \theta$	No.
Shuffle $\chi', \rho, \pi$	<b>Yes.</b>
Shuffle and Mix $\chi', \rho, \pi, \theta$	No.

## Simulations

Active Layers	Detectable Leakage?
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## Simulations

Active Layers	Detectable Leakage?
Sbox $\chi'$	Yes!
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Shuffle $\chi', \rho, \pi$	<b>No.</b>
Shuffle and Mix $\chi', \rho, \pi, \theta$	No.

## Takeaways:

- Use Shuffle **and** Mix for entropy diffusion
- Combine simulations with practical evaluations

## Caveats:

- Uniformity is essential in decomposed S-boxes:

## Future Work:

- Evaluation of exploitable leakage
- Diffusion in other ciphers (e.g. ASCON)
- Quality criteria for RNG



**Thanks! Any questions?**

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Felix Wegener, Christian Baiker, Amir Moradi

Ruhr University Bochum, Horst Görtz Institute for IT-Security, Germany

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